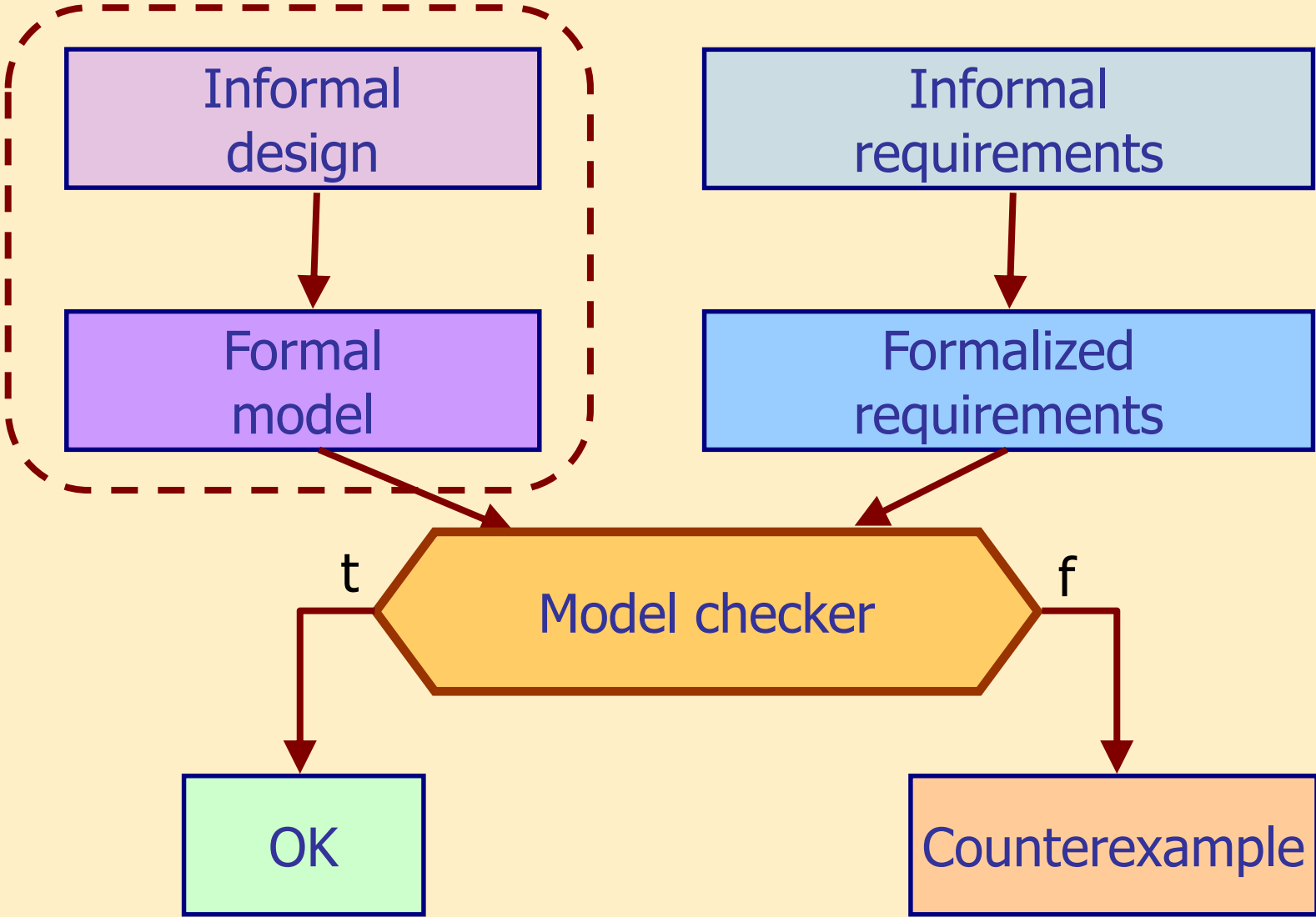


# Basic Formalisms

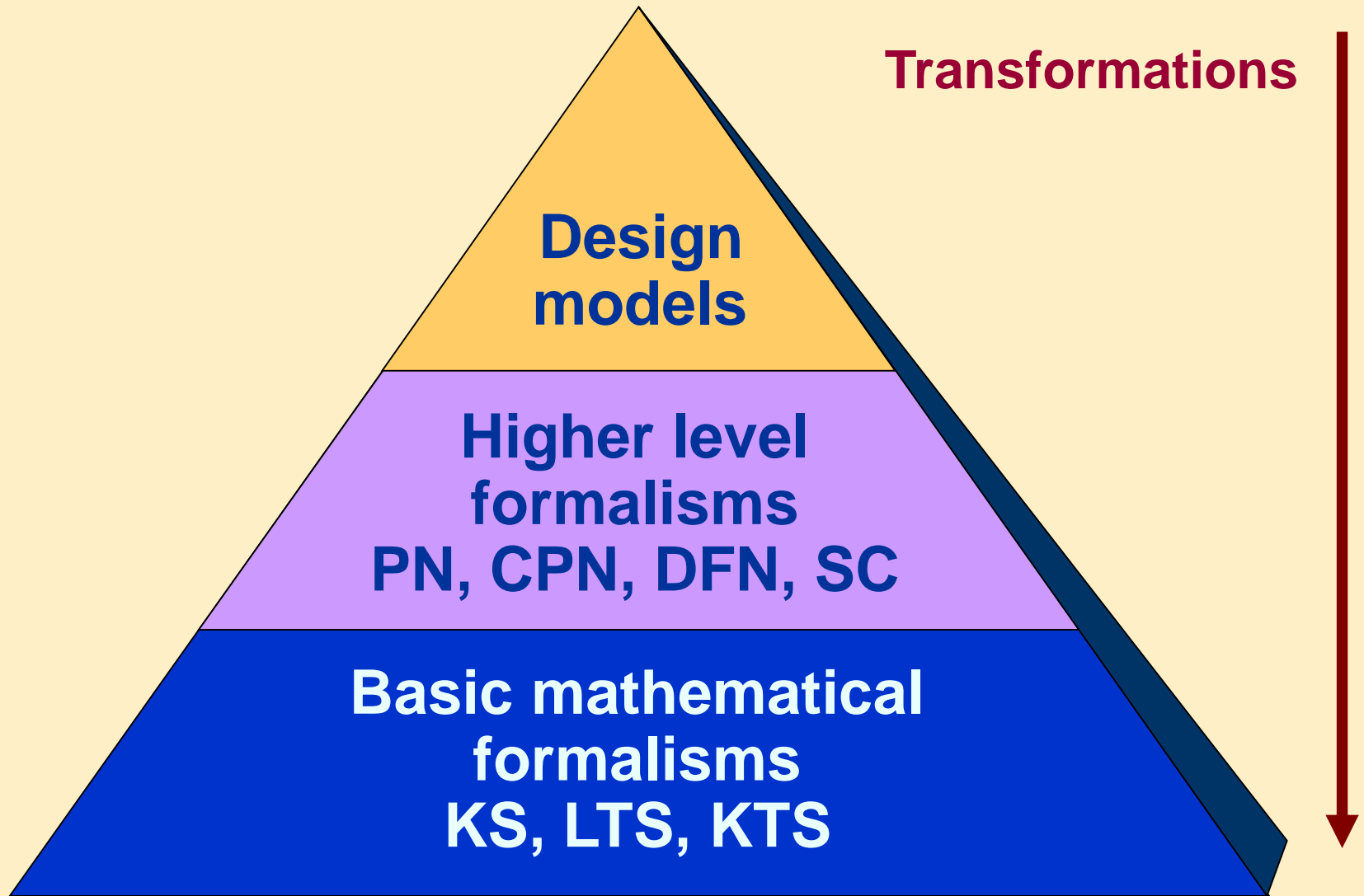
dr. István Majzik

BME Department of Measurement and Information Systems

# Our goal



# Formalisms for formal verification



# Basic formalisms (overview)

- Kripke Structures (KS)
  - States, transitions, labels
  - Local properties of states as labels
- Labeled Transition Systems (LTS)
  - States, transitions, actions
  - Local properties of transitions as actions
- Kripke Transition Systems (KTS)
  - States, transitions, labels, actions
  - Local properties of states and transitions as labels and actions
- Finite State Automata with Time
  - Extensions: variables, clocks, synchronization

# Kripke Structure

- Expresses properties of **states**:  
labeling by **atomic propositions**
- Possibly more than one labels per state
- Application: description of behavior or algorithm

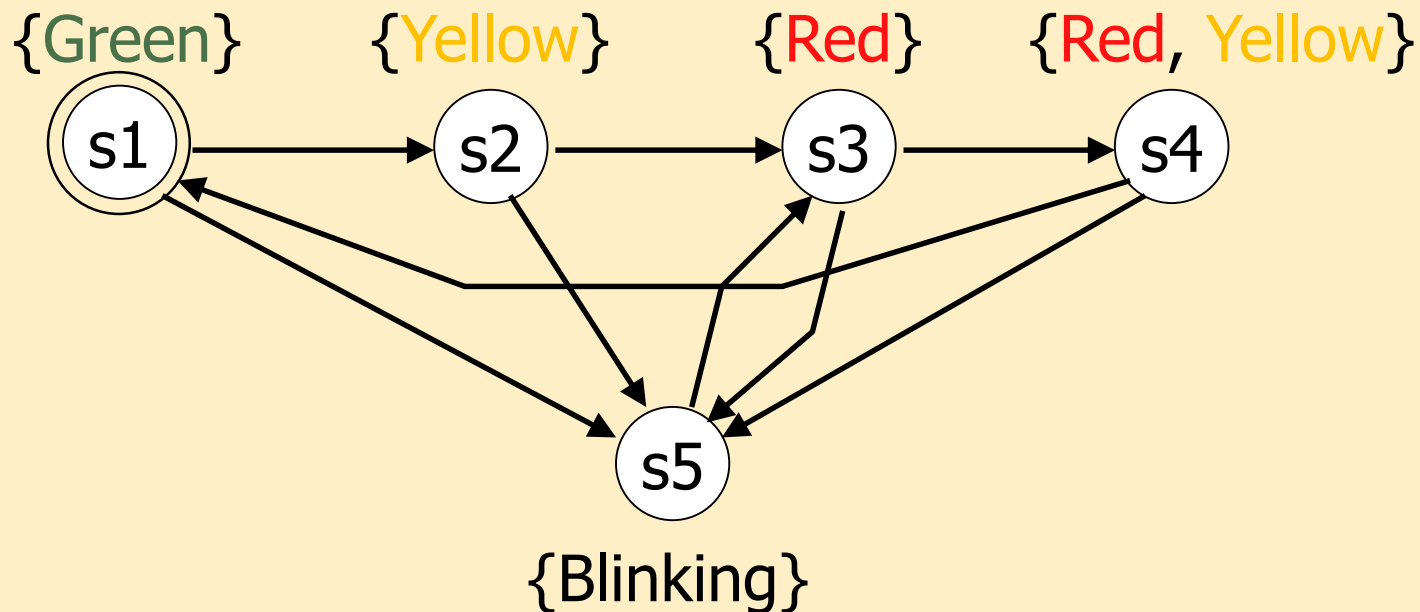
A Kripke structure  $KS$  over a set of atomic propositions  $AP = \{P, Q, R, \dots\}$  is a tuple  $(S, I, R, L)$  where

- $S = \{s_1, s_2, \dots, s_n\}$  is a finite set of states,
- $I \subseteq S$  is the set of initial states,
- $R \subseteq S \times S$  is the set of transitions and
- $L : S \rightarrow 2^{AP}$  is the labeling of states by atomic propositions

# Example for KS

## Traffic light

- $AP = \{Green, Yellow, Red, Blinking\}$
- $S = \{s_1, s_2, s_3, s_4, s_5\}$



# Labeled Transition System

- Expresses properties of transitions:  
labeling by actions
- Exactly one action per transition
- Application: modeling of communication and protocols

A labeled transition system *LTS* over a set of actions  $Act = \{a, b, c, \dots\}$  is a triple  $(S, I, \rightarrow)$  where

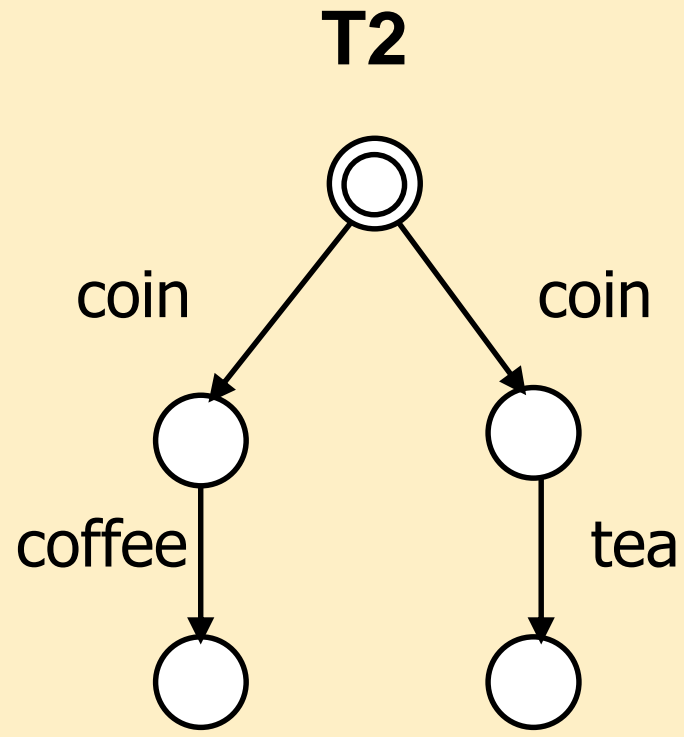
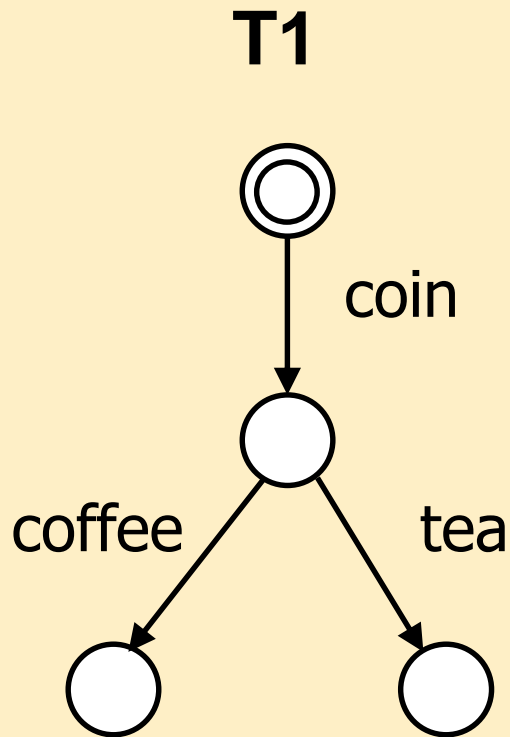
- $S = \{s_1, s_2, \dots, s_n\}$  is a finite set of states,
- $I \subseteq S$  is the set of initial states,
- $\rightarrow \subseteq S \times Act \times S$  is the set of transitions

We denote by  $s \xrightarrow{a} s'$  iff  $(s, a, s') \in \rightarrow$ .

# Example for LTS

## Vending machine

- $Act = \{coin, coffee, tea\}$





# Kripke Transition System

- Expresses properties of both states and transitions: labeling by atomic propositions and actions
- Possibly more than one labels per state, exactly one action per transition

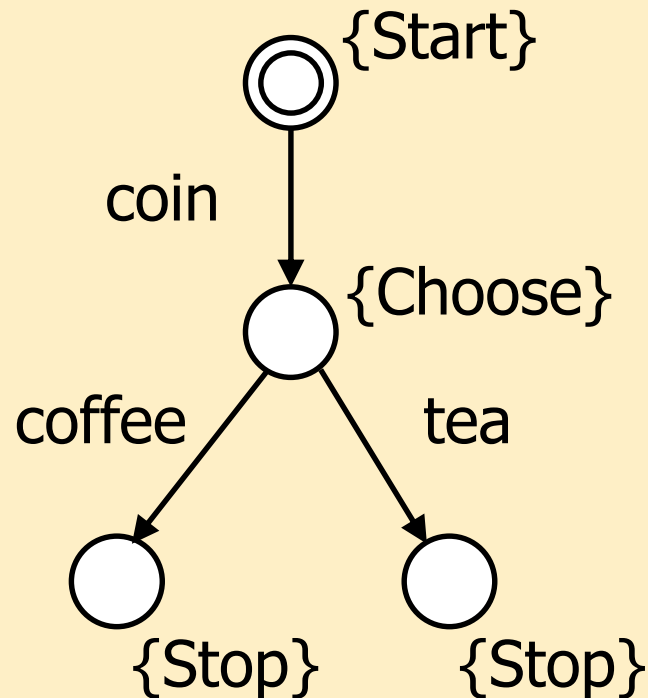
A Kripke transition system *KTS* over a set of atomic propositions  $AP$  and set of actions  $Act$  is a tuple  $(S, I, \rightarrow, L)$  where

- $(S, I, \rightarrow)$  is an *LTS*
- $L : S \rightarrow 2^{AP}$  is the labeling of states by atomic propositions

# Example for KTS

## Vending machine with state labeling

- $Act = \{coin, coffee, tea\}$
- $AP = \{Start, Choose, Stop\}$



# Timed Automata and the UPPAAL Model Checker

# Automata and variables

- Goal: modeling state based behavior
- Basic formalism: finite state automaton (FSA)
  - Locations (named)
  - Edges
- Language extension: integer variables
  - Variables with restricted domain (e.g. `int[0, 1] id`)
  - Constants
  - Integer arithmetic
- Use: on transitions
  - Guard: predicate over variables
    - The transition can fire only if predicate holds
  - Action: variable assignment

# Extension with clock variables

- Goal: modeling real-time behavior
  - Time passes in locations
  - Relative measurement of time (e.g. time-out): resetting and reading clock variable
  - Time dependent behavior
  - Property to check: set of reachable locations within time bound
- Language extension: clock variables
  - Measure time elapse by a constant rate
- Use: on transitions
  - Guard: predicate over clock variables
  - Action: resetting clocks to zero
- Use: on locations
  - Location invariant: predicate over clock variables, restricts time elapse for current location

# Timed automata in UPPAAL

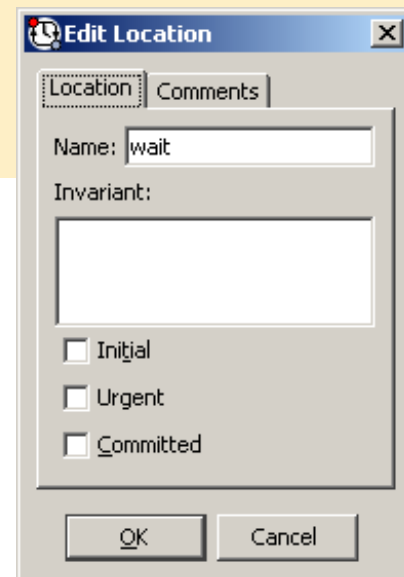
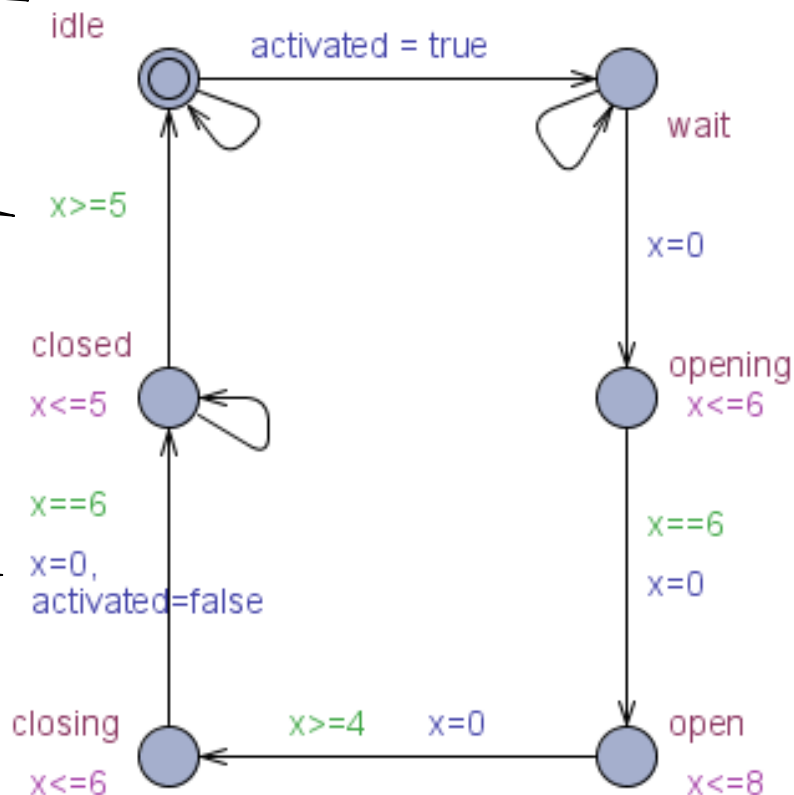
Location

Guard

Invariant

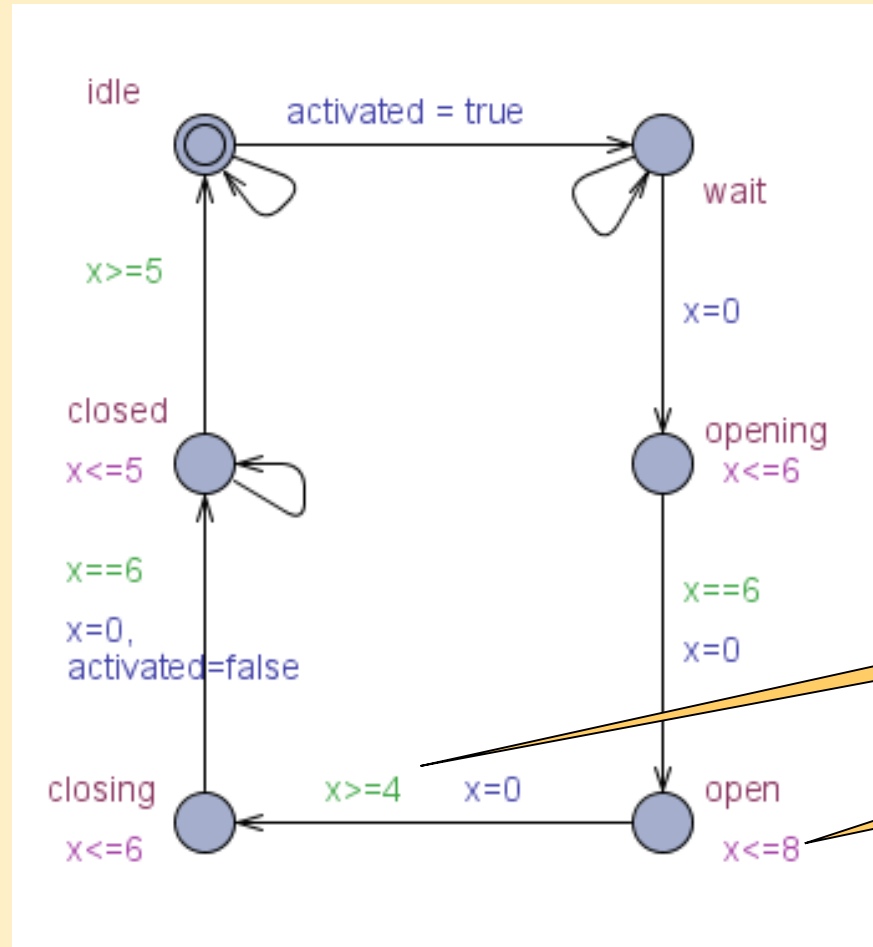
Action

clock  $x$ ;



# Role of guards and invariants

clock x;



Guard

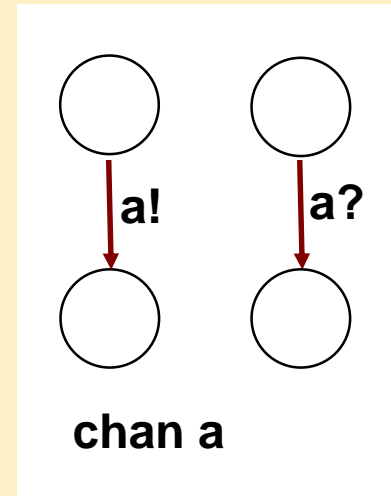
Invariant

Upon exiting location **open**, the value of clock is in interval  $[4, 8]$



# Extensions for concurrency

- Goal: modeling networks of automata
  - Synchronization between automata
  - Synchronized transitions (handshake):
    - Sending and receiving a message occurs at the same time
    - Enables modeling of asynchronous behavior as well
- Language extension: **synchronized actions**
  - Channels
  - Sending a message: **!** operator  
Receiving a message: **?** operator
    - E.g.: synchronization labels **a!** and **a?** for channel **a**
- Parameterization
  - Parameterized channels: arrays of channels
    - E.g. channel **a[id]** for a variable **id**
  - Parameterized automata: instantiating templates
    - E.g. automaton **Door(true)** for template **Door(bool id)**



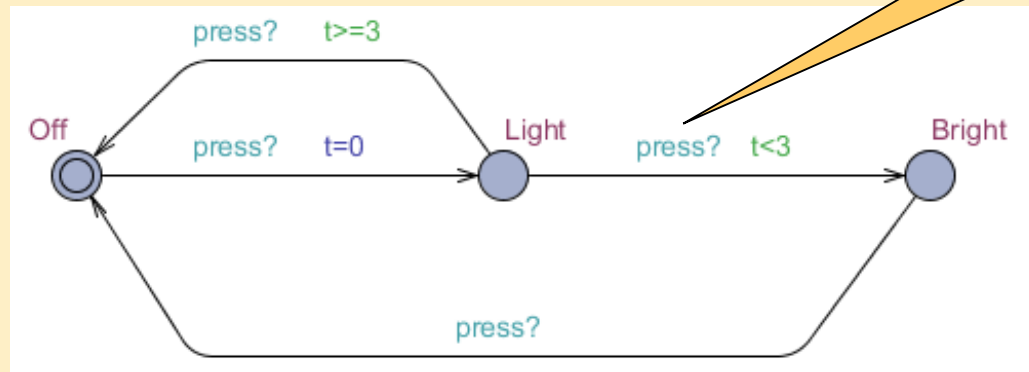


# Example for clocks and synchronization

Declarations:

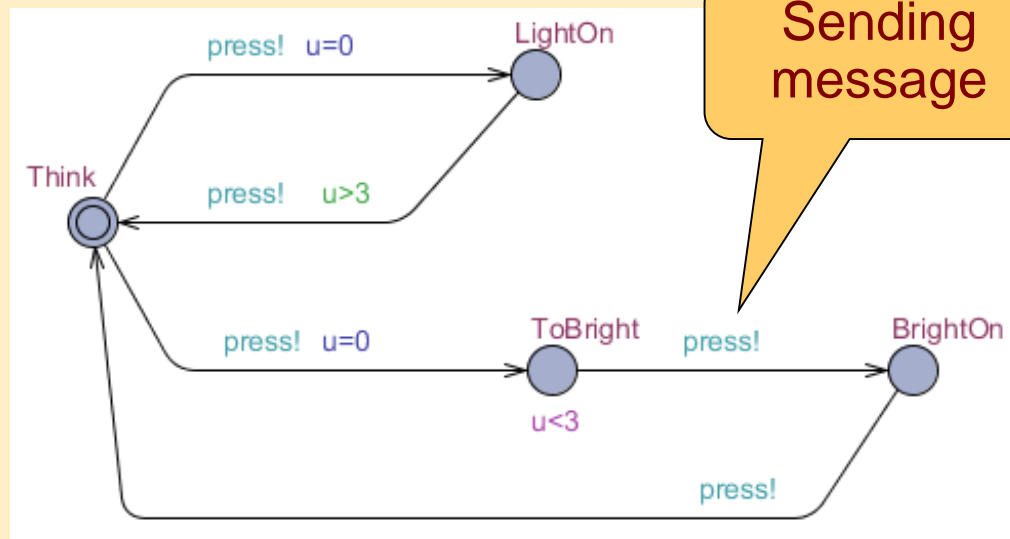
```
clock t, u;  
chan press;
```

Switch:



Receiving message

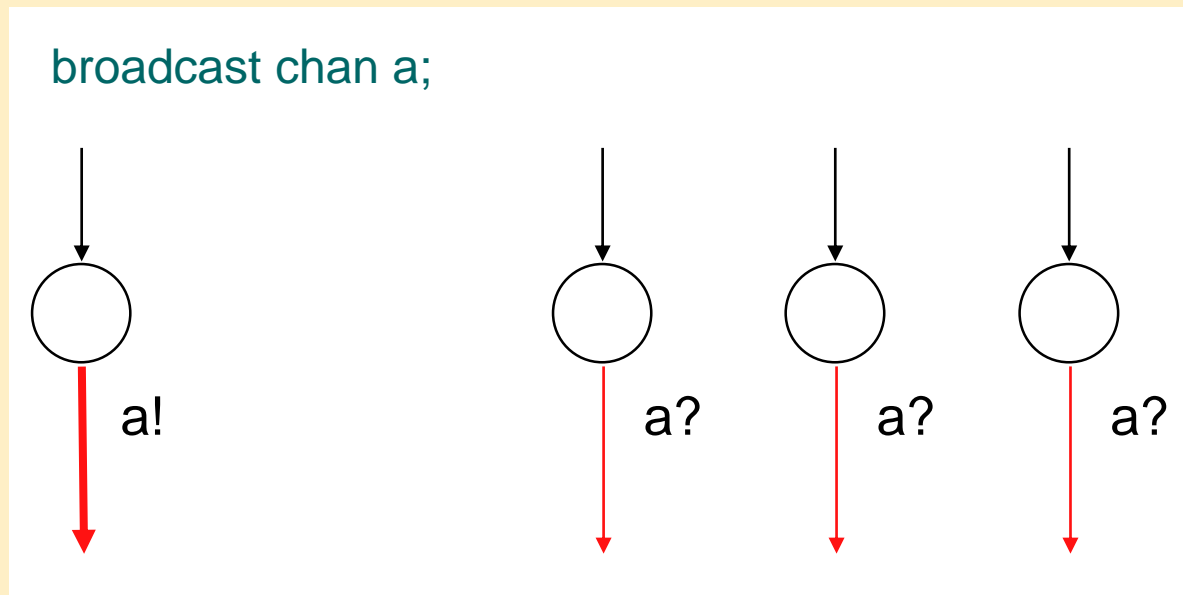
User:



Sending message

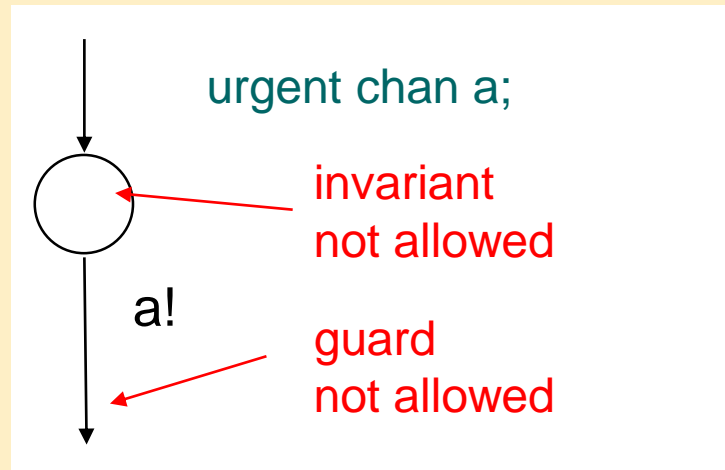
# Further extensions: broadcast channel

- **Broadcast channel: one-to-many communication**
  - Sending message without condition
    - No handshake needed
  - All processes ready to receive message will synchronize
    - Receiving edge can only be taken upon receiving message
  - Restriction: no guard on receiving edge



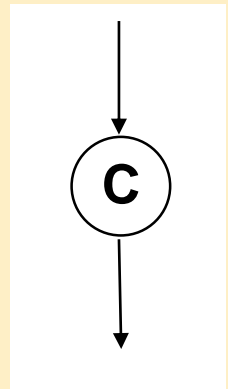
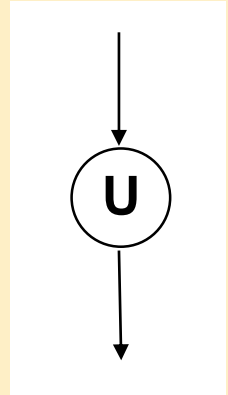
# Further extensions: Urgent channel

- Urgent channel: prohibit time delay
  - The synchronization is executed **without delay**, (other edges might be traversed before, but only instantly)
  - Restrictions:
    - No guard is allowed on an edge labeled with the name of an urgent channel
    - No invariant is allowed on a location that is the source of an edge labeled with the name of an urgent channel



# Further extensions: special locations

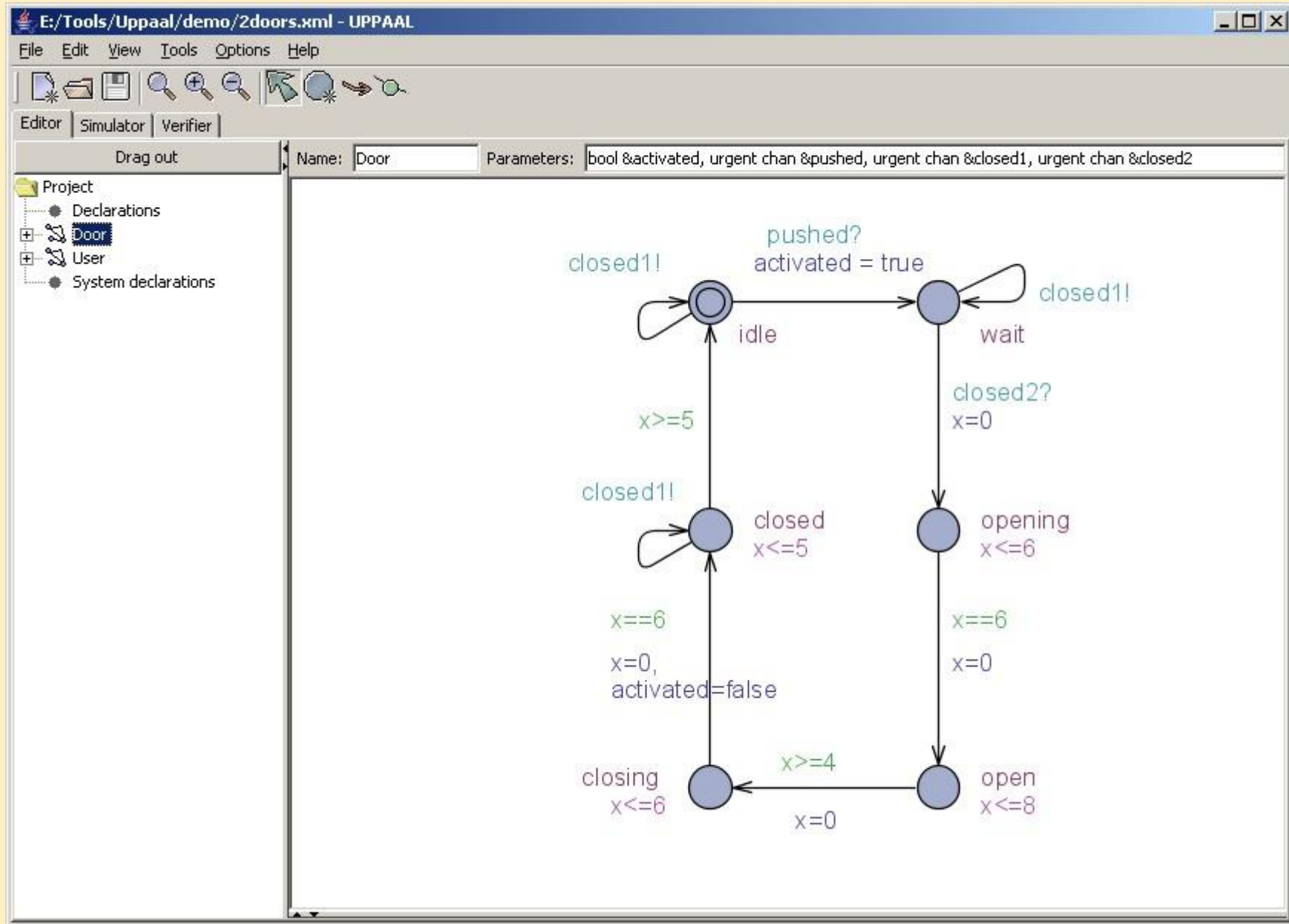
- **Urgent location: prohibit time delay**
  - Time is not allowed to progress in the location
  - Equivalent model:
    - Introduce a clock variable: **clock  $x$** ;
    - Reset clock on all incoming edges:  **$x:=0$**
    - Add invariant:  **$x \leq 0$**
- **Committed location: even more restrictive**
  - A committed location is urgent
  - **Committed state**: at least one committed location is active
  - The next transition from a committed state must involve at least one out-edge of an active committed location



# The UPPAAL model checker

- Development (1999-):
  - Uppsala University, Sweden
  - Aalborg University, Denmark
- Web page (information, examples, download):  
<http://www.uppaal.org/>
- Related tools:
  - UPPAAL CoVer: Test generation
  - UPPAAL TRON: On-line testing
  - UPPAAL PORT: Component based modeling
  - ...
- Commercial version:  
<http://www.uppaal.com/>

# Automaton model



# Simulator

E:/Tools/Uppaal/demo/2doors.xml - UPPAAL

File Edit View Tools Options Help

Editor Simulator Verifier

Drag out

Enabled Transitions

User2

closed2: Door2 --> Door1

Next Reset

Simulation Trace

(idle, idle, idle, idle)

User1

(idle, idle, -, idle)

pushed1: User1 --> Door1

(wait, idle, idle, idle)

Trace File:

Prev Next Replay

Open Save Random

Slow Fast

Drag out

activated1 = 1  
activated2 = 0  
Door1.x >= 0  
Door2.x >= 0  
User1.w = 0  
User2.w >= 0  
Door1.x = Door2.x  
Door2.x = User2.w  
User2.w = Door1.x

**Door1**

**Door2**

**User1**

**User2**

**Door1 Door2 User1 User2**

# Verification

The screenshot shows the UPPAAL software interface with the following components:

- File:** F:/FTapps/Uppaal/demo/2doors.xml - UPPAAL
- Menu:** File, Edit, View, Tools, Options, Help
- Toolbar:** Includes icons for file operations (new, open, save), search, and navigation.
- Editor:** Contains the following text:

```
A[] not (Door1.open and Door2.open)
A[] (Door1.opening imply User1.w<=31) and (Door2.opening imply User2.w<=31)
E<> Door1.open
E<> Door2.open
```
- Overview:** A list of properties with status indicators (green for satisfied, grey for unsatisfied). The first property is selected.
  - Check
  - Insert
  - Remove
  - Comments
- Query:** A[] not (Door1.open and Door2.open)
- Comment:** Mutex: The two doors are never open at the same time.
- Status:** A log of verification results:

```
Established direct connection to local server.
(Academic) UPPAAL version 4.0.7 (rev. 4140), November 2008 -- server.
Disconnected.
Established direct connection to local server.
(Academic) UPPAAL version 4.0.7 (rev. 4140), November 2008 -- server.
A[] not (Door1.open and Door2.open)
Property is satisfied.
A[] (Door1.opening imply User1.w<=31) and (Door2.opening imply User2.w<=31)
Property is satisfied.
E<> Door2.open
Property is satisfied.
A[] not deadlock
Property is satisfied.
Door2.wait --> Door2.open
Property is satisfied.
Door1.wait --> Door1.open
Property is satisfied.
```



# Motivating example (optional)

# Motivating exemplar: mutual exclusion

- 2 processes, 3 shared variables (H. Hyman, 1966)
  - **blocked0**: process 1 (P0) wants to enter
  - **blocked1**: process 2 (P1) wants to enter
  - **turn**: which process is allowed to enter (0 for P0, 1 for P1)

```
while (true) {
  blocked0 = true;
  while (turn!=0) {
    while (blocked1==true) {
      skip;
    }
    turn=0;
  }
  // Critical section
  blocked0 = false;
  // Do other things
}
```

P0

```
while (true) {
  blocked1 = true;
  while (turn!=1) {
    while (blocked0==true) {
      skip;
    }
    turn=1;
  }
  // Critical section
  blocked1 = false;
  // Do other things
}
```

P1

Is the algorithm correct?

# The model in UPPAAL (version 1)

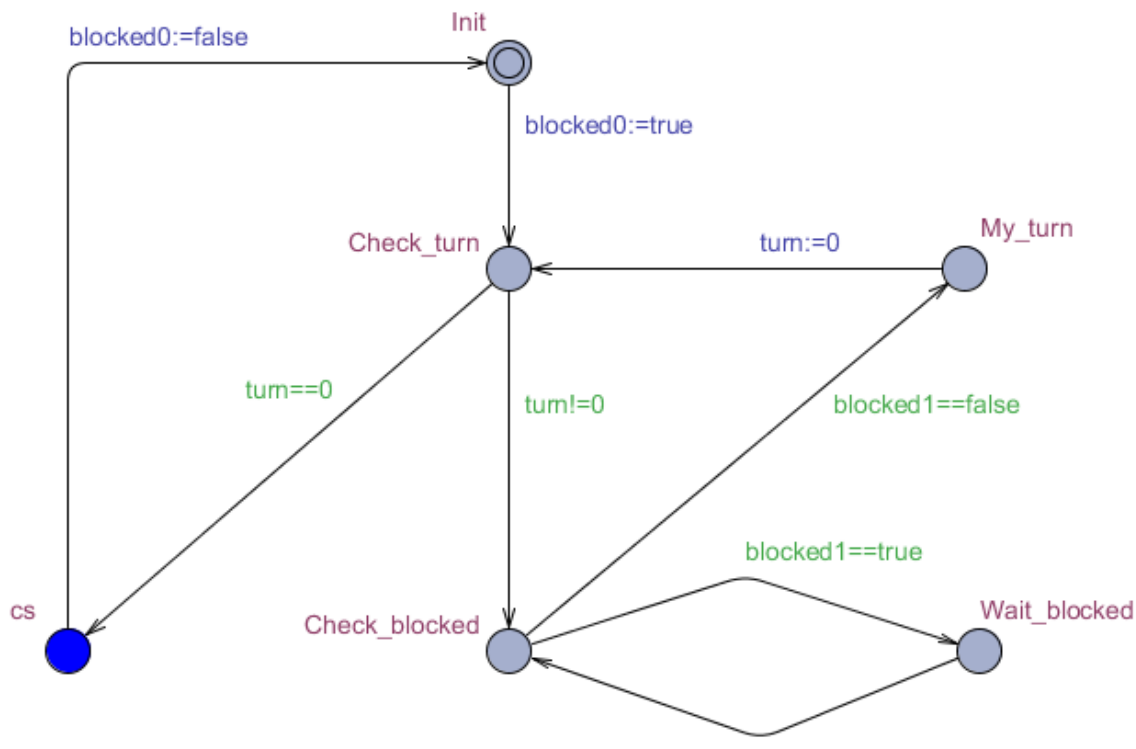
Declarations:

```
bool blocked0;  
bool blocked1;  
int[0,1] turn=0;  
system P0, P1;
```

Used modeling idioms:

- Global variables
- Variables with restricted domain

Automaton P0:



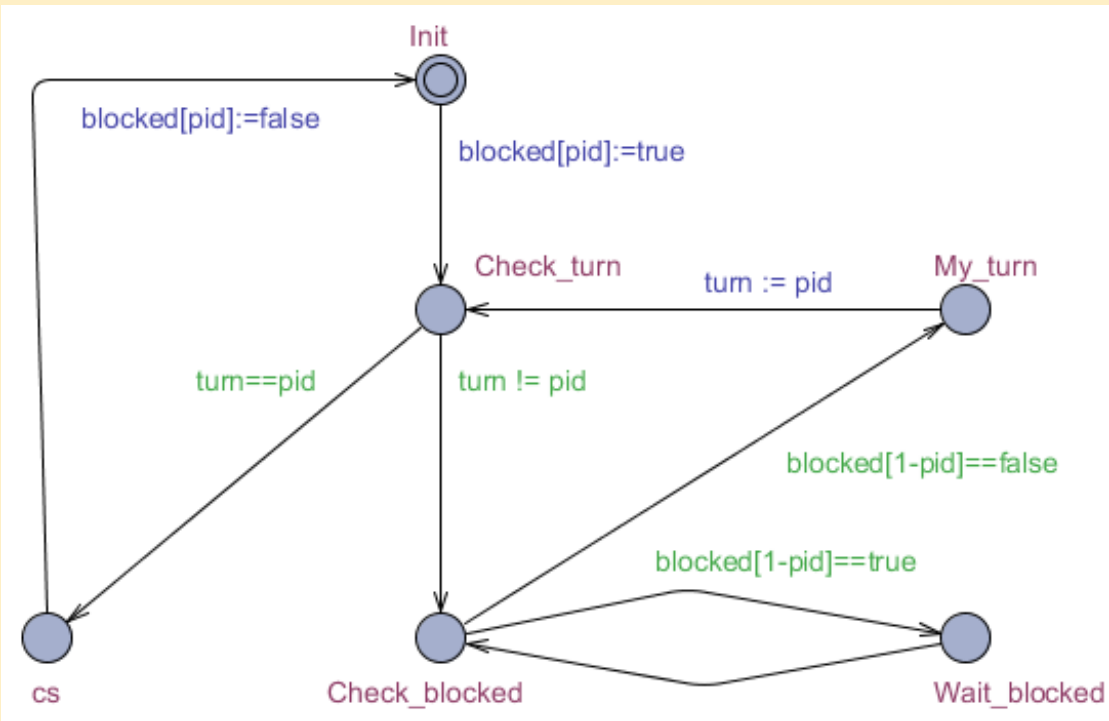
```
while (true) {                                P0  
  blocked0 = true;  
  while (turn!=0) {  
    while (blocked1==true) {  
      skip;  
    }  
    turn=0;  
  }  
  // Critical section  
  blocked0 = false;  
  // Do other things  
}
```

# The model in UPPAAL (version 2)

## Declarations:

```
bool blocked[2];  
int[0,1] turn;  
P0 = P(0);  
P1 = P(1);  
system P0,P1;
```

## Template P with parameter pid:



## Used modeling idioms:

- Global variables
- Variables with restricted domain
- Modeling common behavior with templates
- Template instantiation with parameters
- Variables of array type

```
while (true) {                                P0  
  blocked0 = true;  
  while (turn!=0) {  
    while (blocked1==true) {  
      skip;  
    }  
    turn=0;  
  }  
  // Critical section  
  blocked0 = false;  
  // Do other things  
}
```

# Properties to verify

- Mutual exclusion:
  - At most one process is allowed to be in the critical section
- The expected behavior is possible:
  - For P0 it is possible to enter the critical section
  - For P1 it is possible to enter the critical section
- Starvation freedom:
  - P0 will eventually enter the critical section
  - P1 will eventually enter the critical section
- Deadlock freedom:
  - It is not possible that processes are mutually waiting for each other

# Our goal

- Basic or higher level or design models

Automatically verifiable, exact properties

Formal model

Formalized requirement

