

# Modeling Event-Based Behavior with State Machines

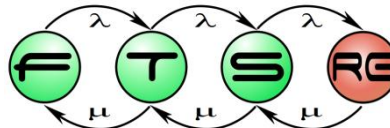
## Critical Embedded Systems

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*Prepared by*

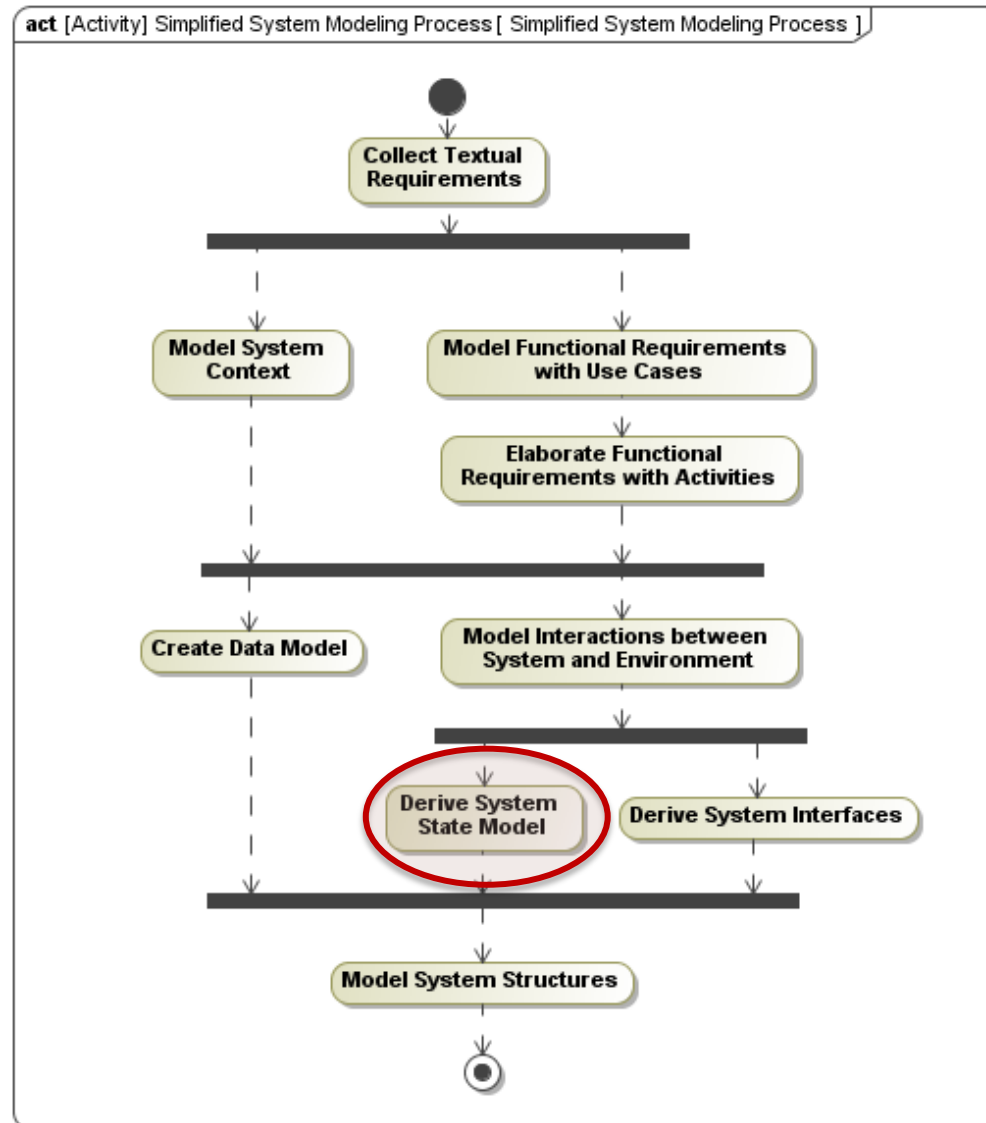
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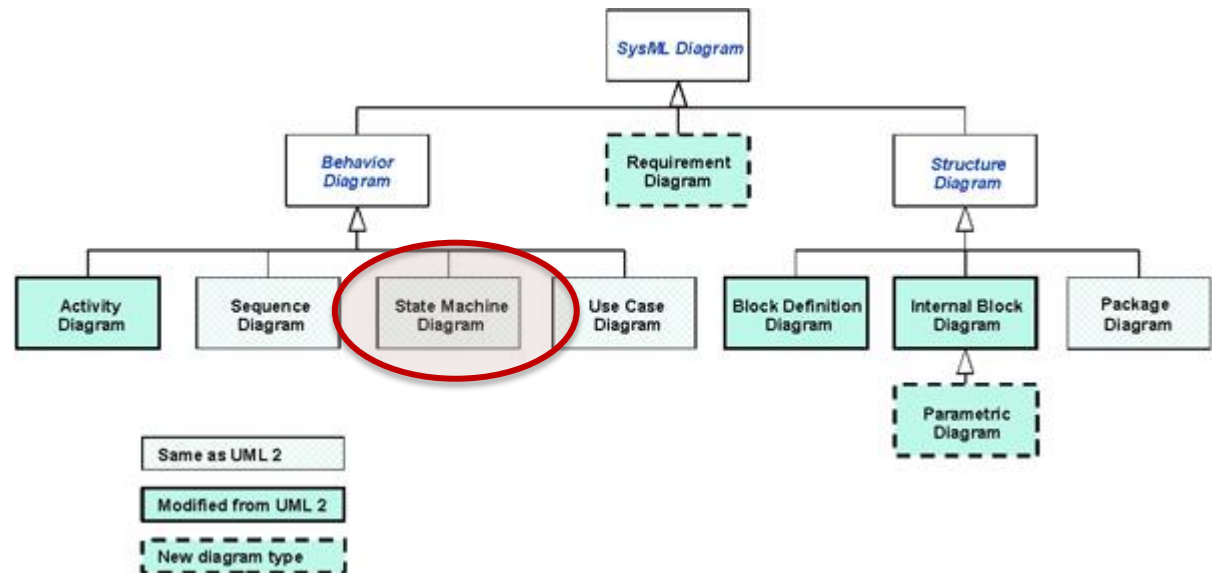
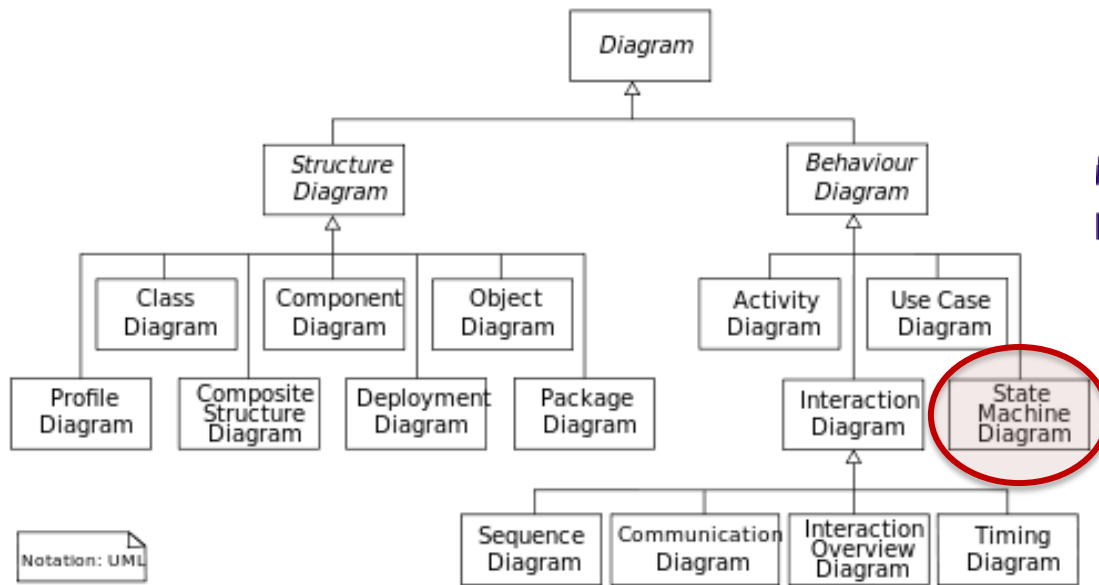
# System Modeling Process



# *What is it about?*

Context of the Modeling Aspect

# State Machine Diagram



# Modeling Aspect

*What are the states of the selected component?*  
*How it reacts to events (how it changes states)?*

# *What are the building blocks?*

Modeling Elements & Notation

# Atoms of dynamic modeling

## ■ Event:

- *Asynchronous occurrence/happening* with parameters  
e.g. mouse click and its place and which button
- *Full-fledged* object, instance of the Event class  
inheritance: extension of its attributes
- Life-cycle:
  - Initialization, notification of target objects
  - Event-queues and selection
  - Processing
- Reactive objects: react to events

# Atoms of dynamic modeling II.

## ■ Operation:

- Services provided by the classes (methods)
  - client-server relation
  - can have return values
- *Part of the class definition*
- *Synchronous or asynchronous communication between objects*
  - e.g., method invocation  
`result = server->operation(p1, p2, ..., pn)`

## ■ Signal reception

- *Asynchronous communication between objects*



# Atoms of dynamic modeling III.

## ■ **State:**

- The state of an object
- Defined by:
  - value of its attributes (e.g.,  $x < 3$ )
  - conditions are met (e.g., operation can be executed)

## ■ **Transition:**

- Change of state
- Triggered either by the incoming event or completion

## ■ **Action:**

- The operations to be executed by the object

# Dynamic Modeling with State Machines

# State Machines

- Describes the states and state transitions of the system, of a subsystem, or of one specific object.
  - hierarchical and concurrent systems
- States
  - Concrete state:
    - Combination of possible values of attributes
    - Can be infinite
  - Abstract states: (like in State Machines)
    - Predicates over concrete states
    - One abstract state  $\leftarrow$  many concrete states
    - Hierarchical states:
      - Frequent in embedded apps (e.g. control of car brake)
- Transitions
  - Triggering Event
  - Guard
  - Action

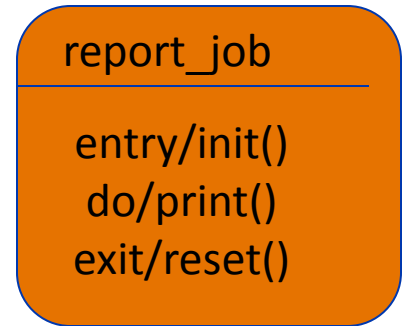
# State Machine - introduction

- For defining reactive behavior of objects
  - Responds to events:  
state transitions and actions
  - Traditional approach: state machine
- UML State Machine: extension to state machine
  - State hierarchy: refinement of states
  - Concurrent behavior: parallel threads
  - Memory: last active state configuration

# States I.

## ■ Attributes:

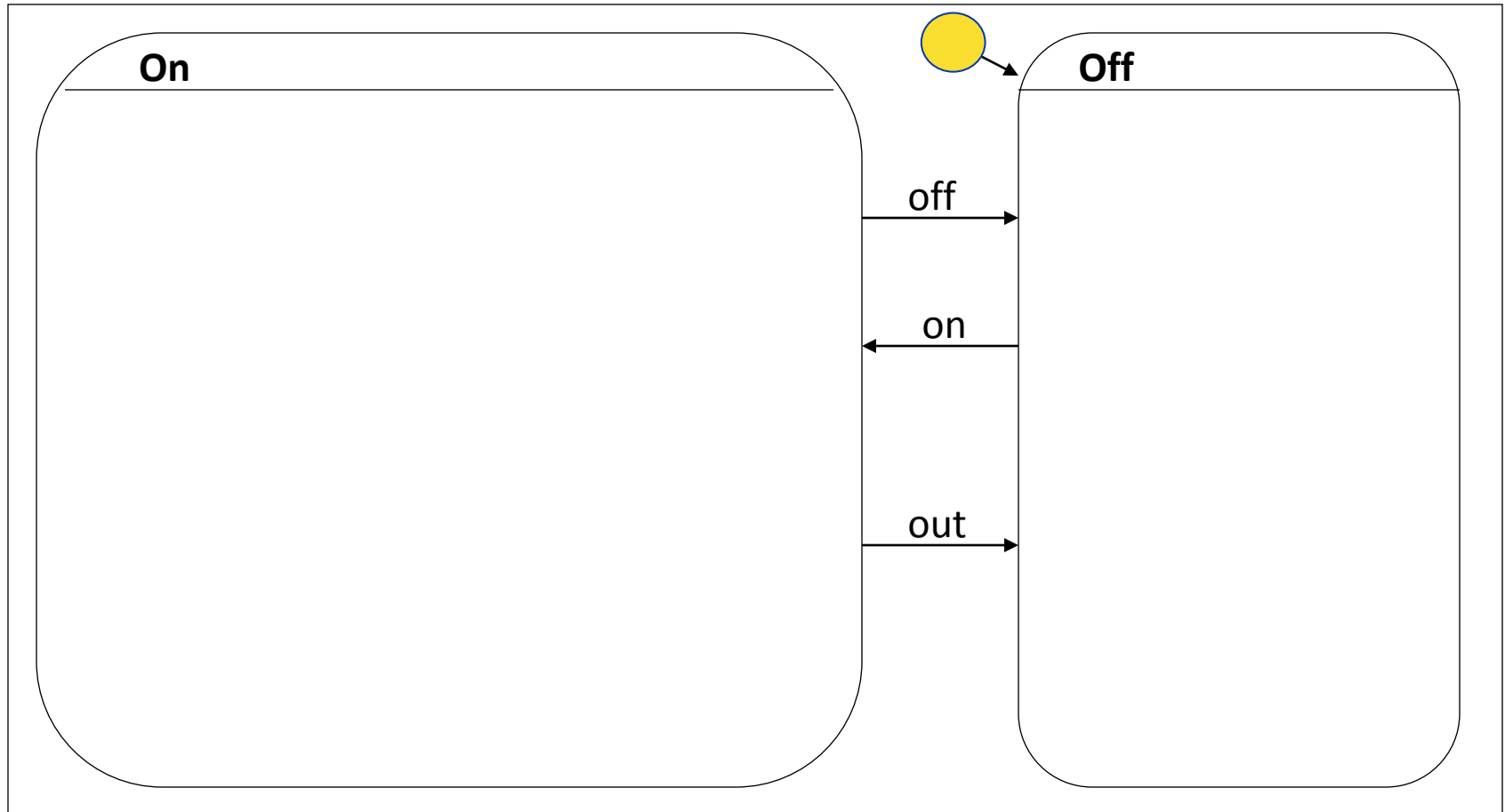
- entry action
- do action
- exit action



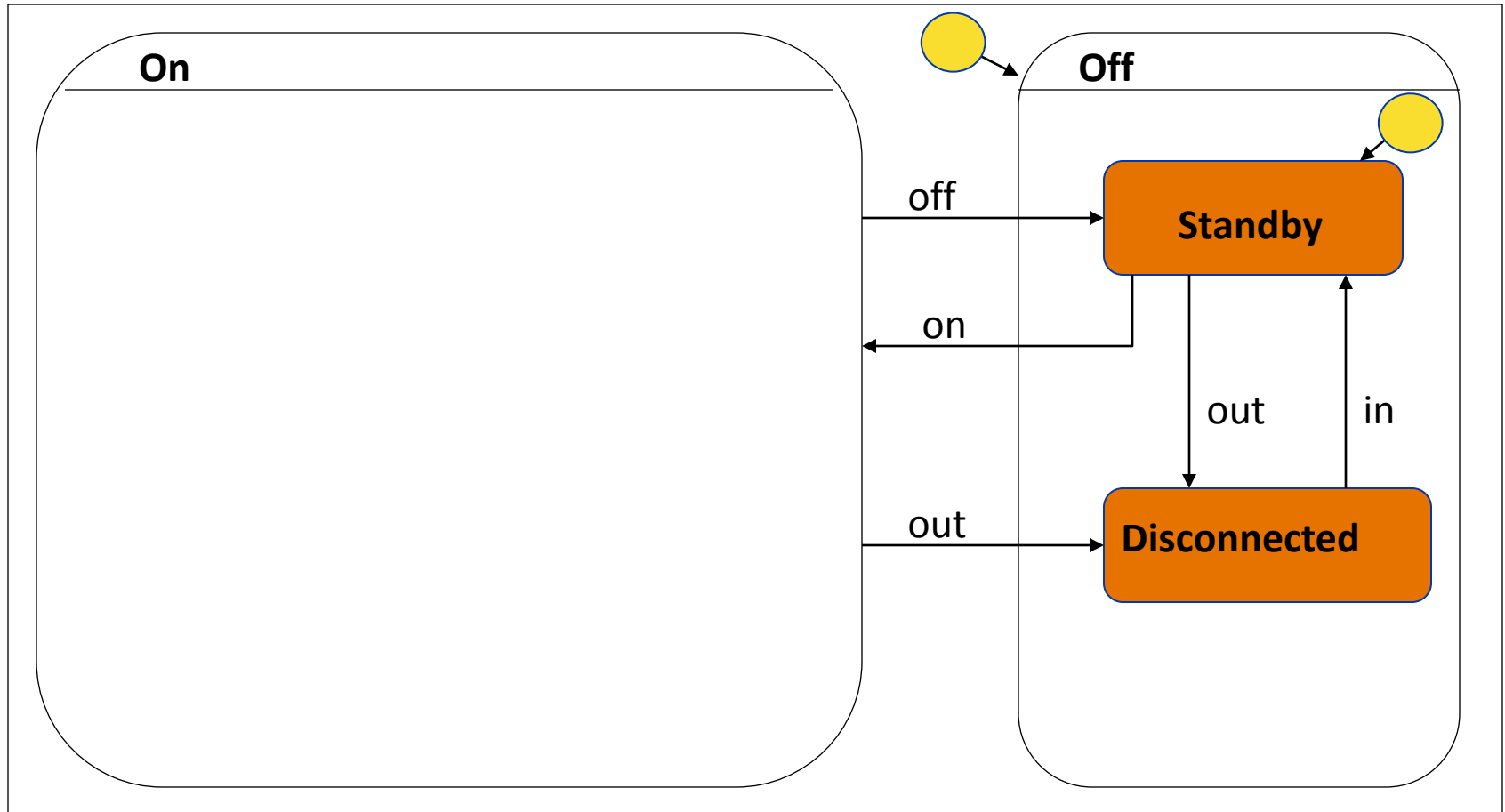
## ■ State refinement

- *Simple state*
- *OR refinement*: auxiliary state machine, only one active state
- *AND refinement*: concurrent regions (state machines), all regions are active in parallel

# Example: State refinement I.

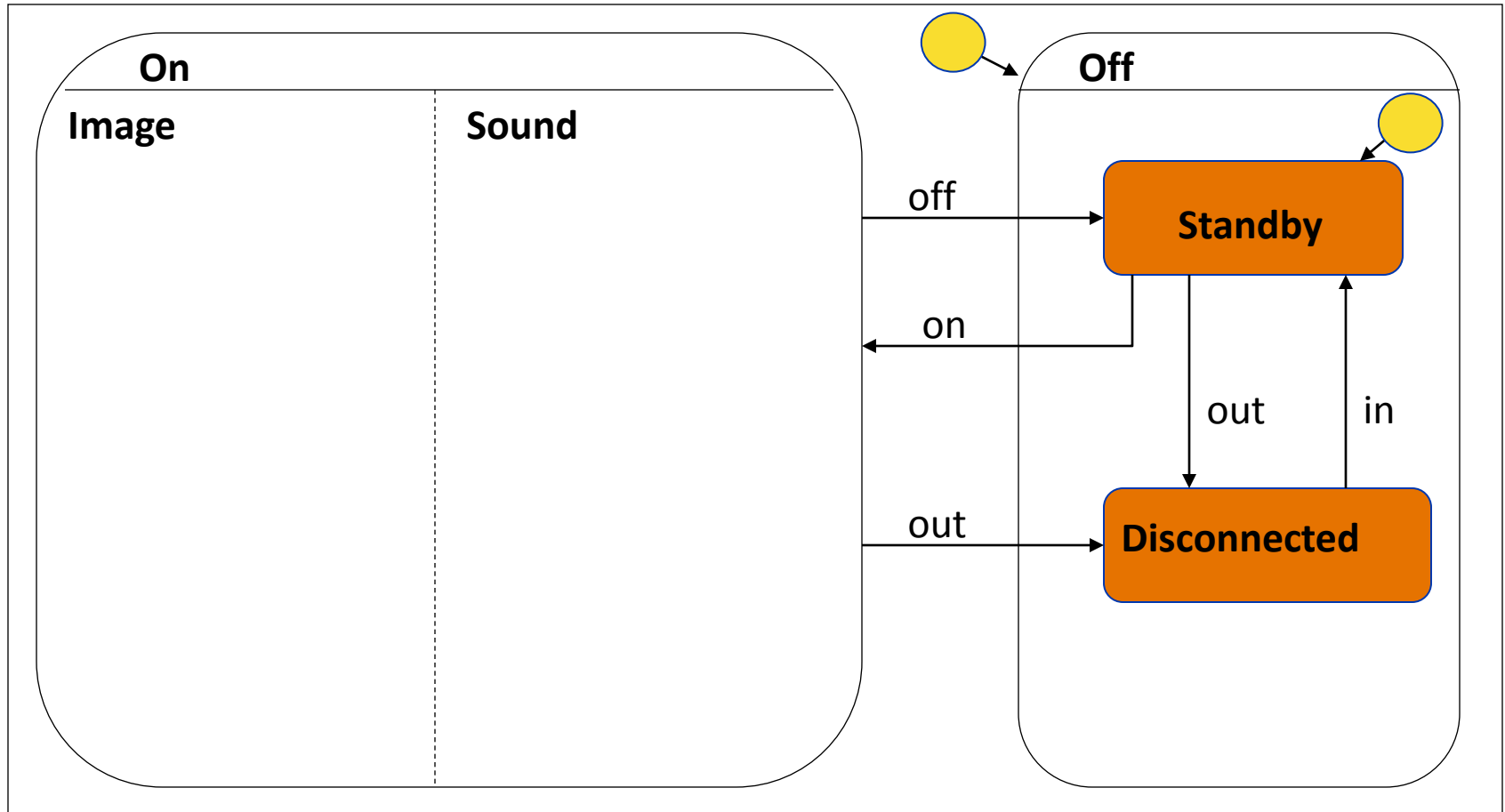


# Example: State refinement II.



**OR refinement**

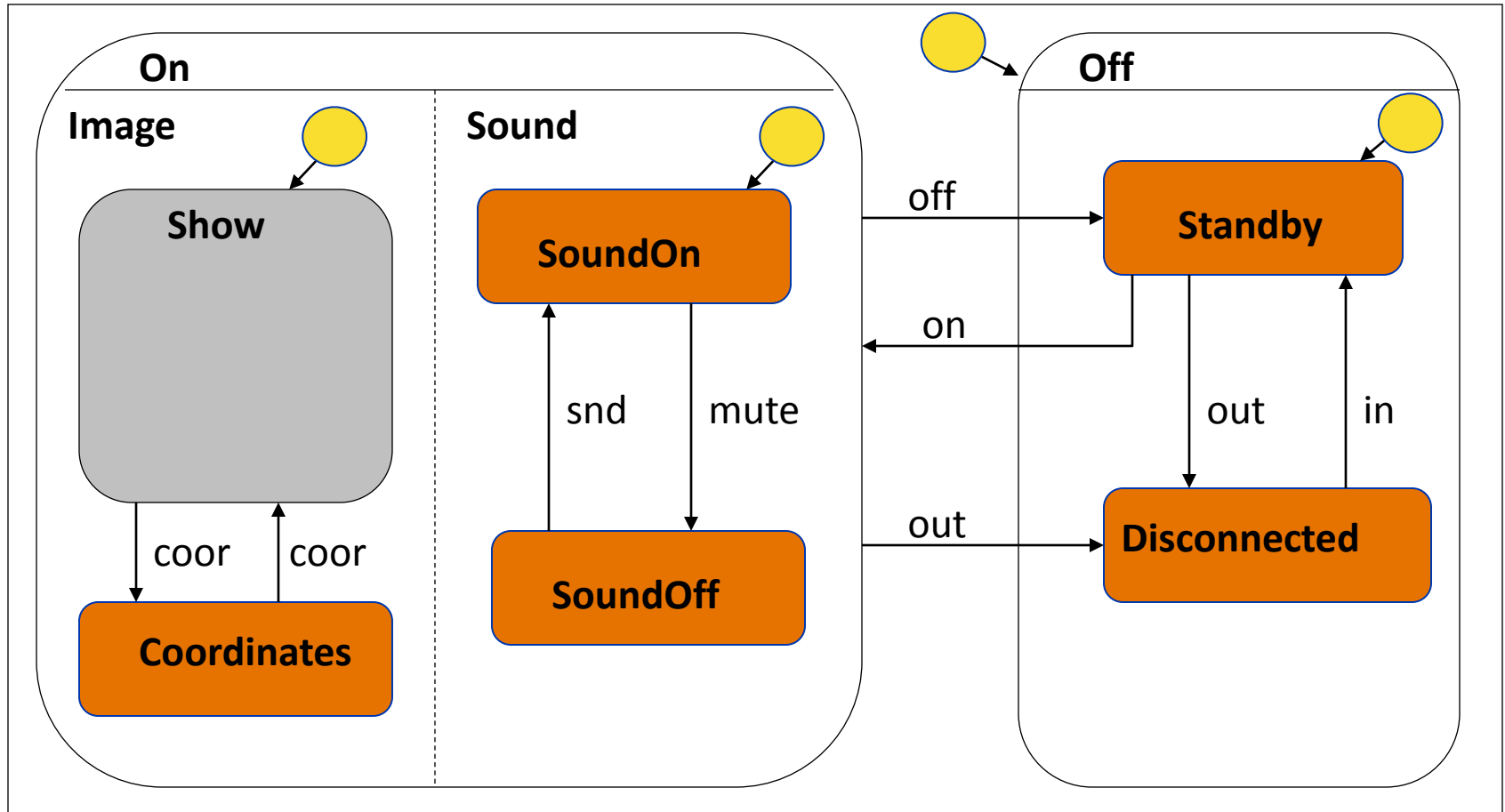
# Example: State refinement III.



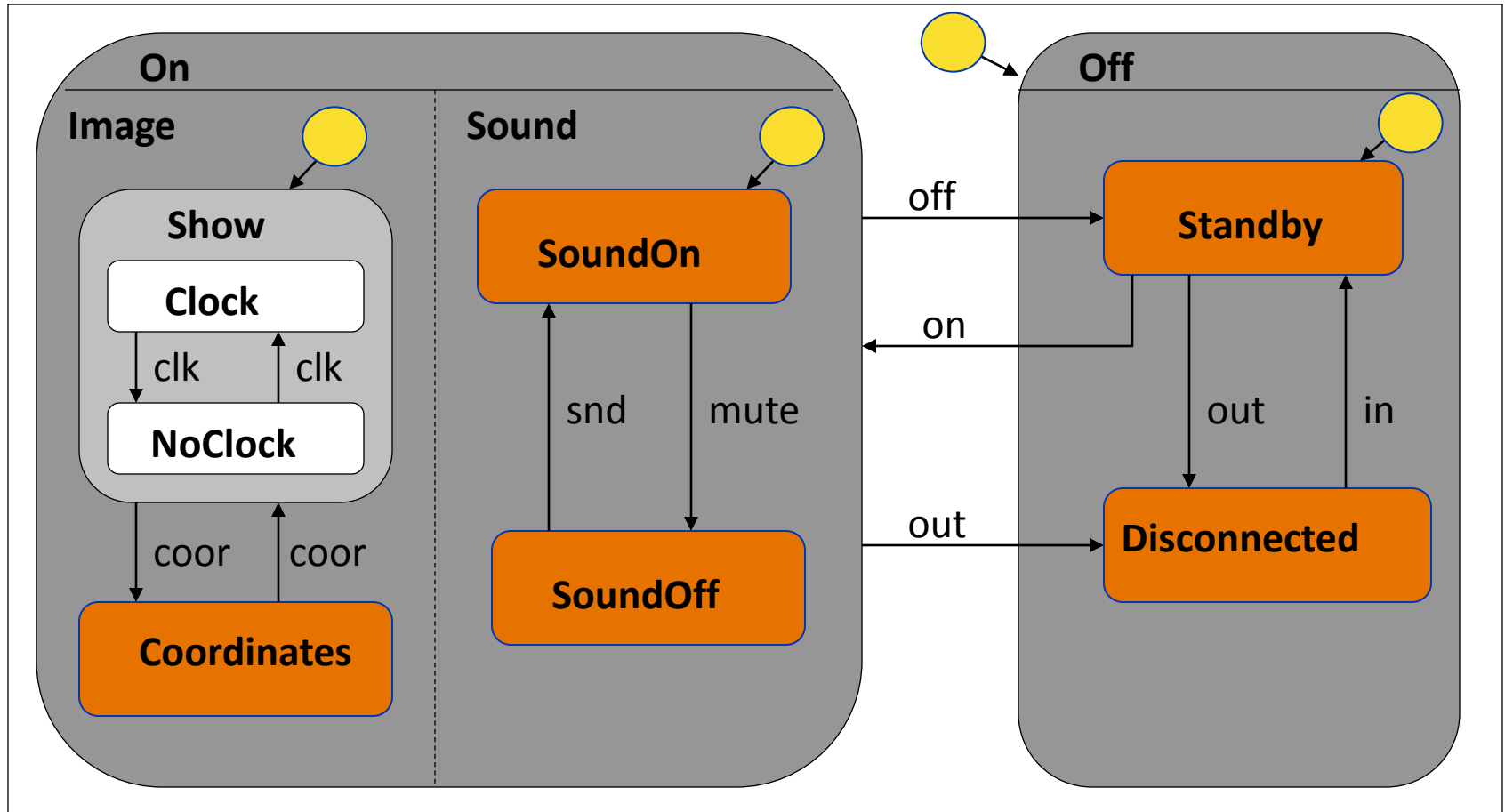
**AND refinement**



# Example: State refinement IV.



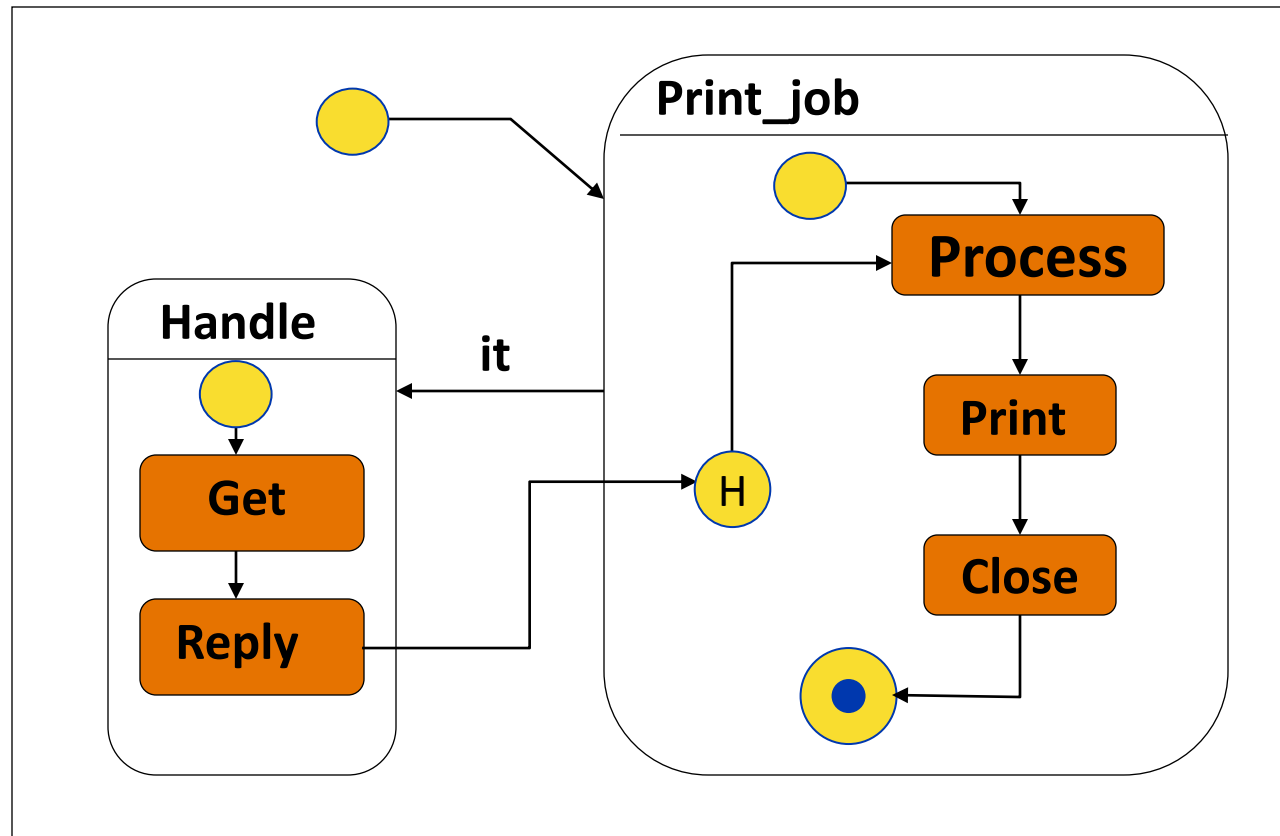
# Example: State refinement V.



# State II.

- History state
  - Stores the last active state configuration
  - Input transition: it sets the object to the saved state configuration
  - Output transition: defines the default state, if there were no active state since
  - Deep history state: saves the complete state hierarchy (down to the lowest substates)
- Initial state: becomes active when entered to the region
  - One in each OR refinement
  - One in each AND region
- Final state: state machine terminates

# Example: History State



# Transition I.

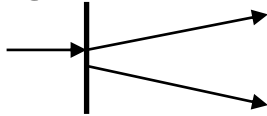

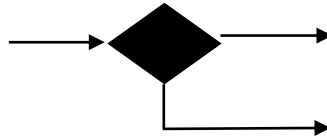
- Defining state changes

- Syntax:

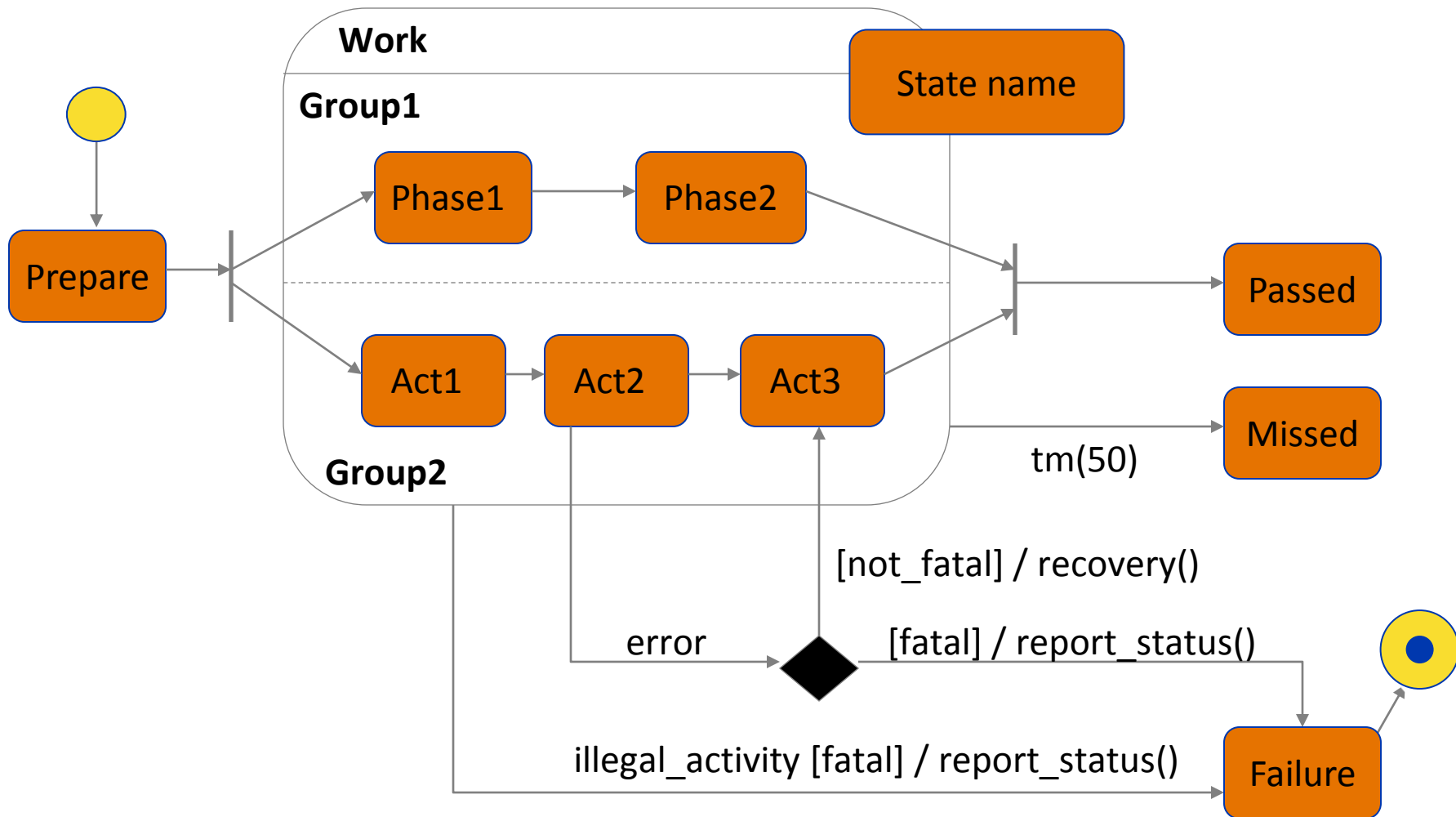
**trigger [guard] / action**

- trigger: event, triggered operation or time-out
- guard: transition condition
  - Logic formula over the attributes of the objects and events
  - referring to a state: IS\_IN(state) macro
  - Without trigger: if becomes true the transition is active
- action: operations  $\Rightarrow$  action semantics

# Transition II.

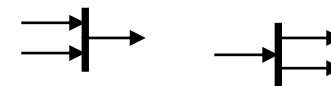
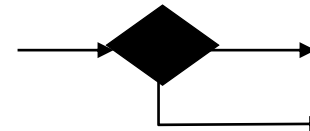
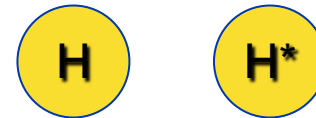
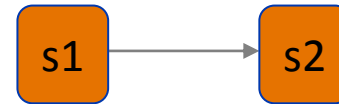
- Time-out trigger:
  - becomes active if the object stays in the source state for the predefined interval  
e.g.,  $tm(50)$ , based on system time
- Complex transitions
  - Fork
  - Join
  - Condition
  - (Internal)
    - executes without exiting or re-entering the state in which it is defined
- Transitions between different hierarchy levels

# Transition example



# (Basic) State Machine elements

- State
- (Transition)
- History state
- Initial State
- Final State
- Conditional transition
- Synchronization(fork/join)





# *How is the model interpreted?*

Semantics of the Model

# Semantics: How does it work?

- Basics:
  - Hierarchical state machine (state chart)
  - Event queue + scheduler
- Semantics defines:

Behavior in case an event occurs

→ one step of the state chart

  - (concurrent) transitions fire
  - State configuration changes
    - in all region in the active state and also one substate in the OR refinement (recursively)

# Semantics of State Transitions

- Separately processed events:
  - Scheduler only triggers the next event if the previous one is completely processed  
stable configuration: there is no state change without an event
- Complete processing of events:
  - The largest set of possible fireable transitions  
(all enabled transition fires, if they are not in conflict)

How does it work?: Steps of the event processing

# Steps of event processing I.

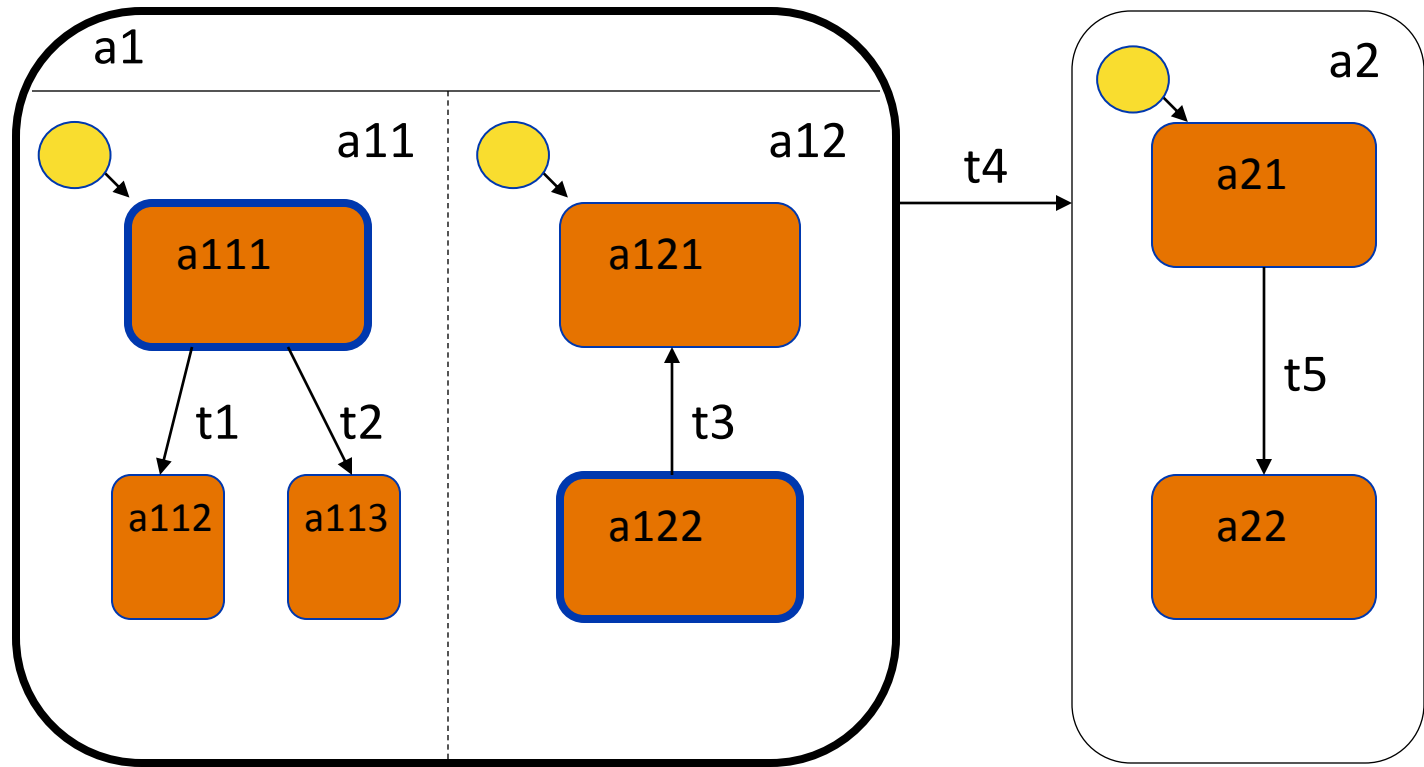
- Scheduler triggers an event for the State Machine in a stable state configuration
- Enabled transitions:
  - Source state is active
  - The event is their trigger
  - Guards are evaluated to true

## Based on the number of fireable transitions

- Only one: fire!
- None: do nothing
- More than one: select transitions to fire?

# Example: Conflict

All transitions are triggered by the same **e** event: Which should fire?



Disabled (cannot fire): t5

Cannot fire together : (t1,t2); (t1,t4); (t2,t4); (t3,t4)

# Steps of event processing II.

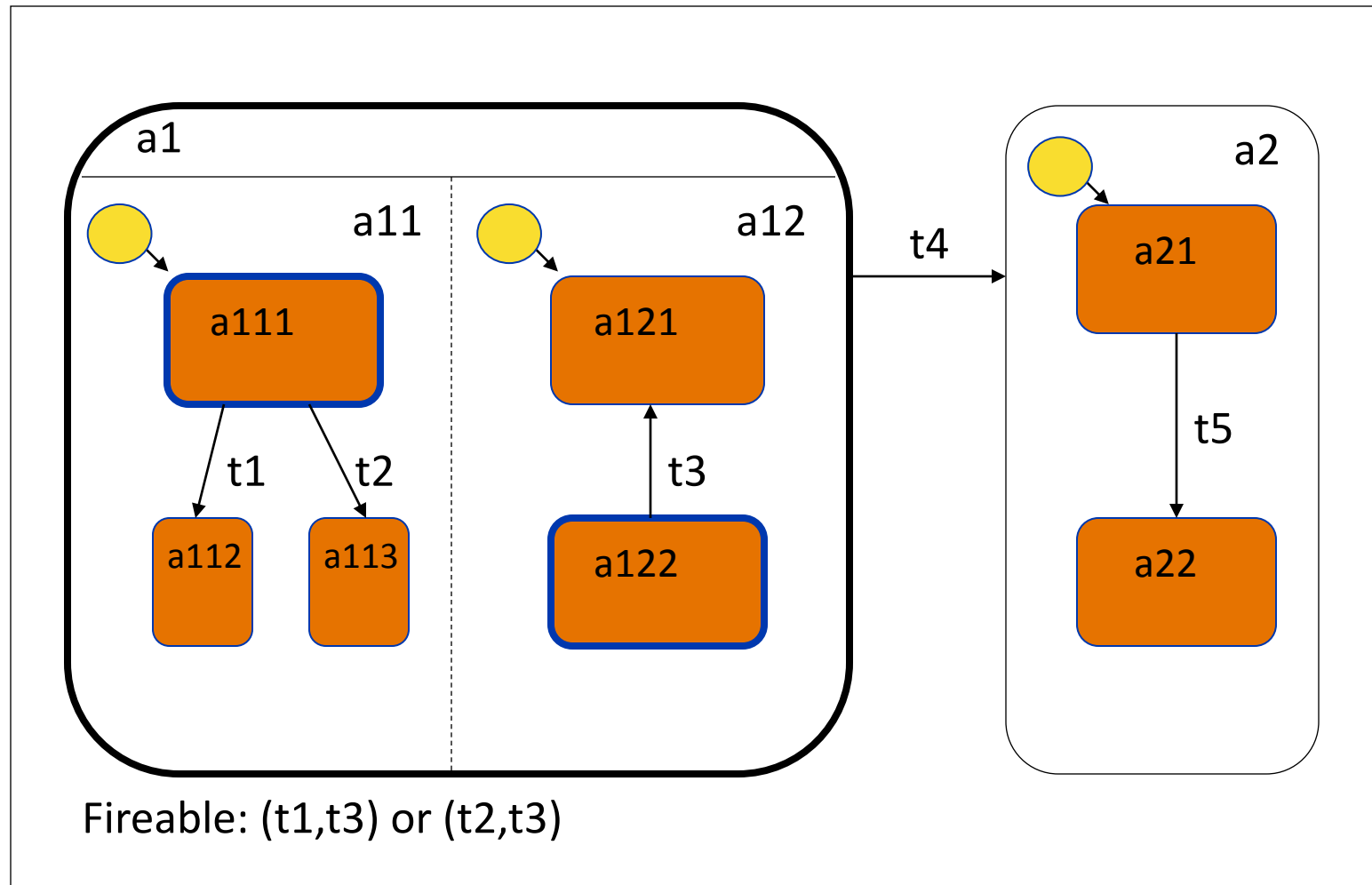
## ■ Selection of fireable transitions:

- **Fireable** = **Enabled** + **Max, priority**
  - **Conflict**: Has the same source state
    - Formally: the intersection of their left (exit) states is not empty
- Conflict resolution → priority:
- Defined between two transitions ( $t_1$  and  $t_2$ )
  - $t_1 > t_2$ , if and only if the source state of  $t_1$  is a substate within the state hierarchy of  $t_2$  („lower level”)

# Steps of event processing III.

- Selection of transitions to fire:
  - Set of transitions to fire: parallel execution of concurrent transitions:
    - Maximum number of fireable transitions (= cannot be extended any further)
    - There is no conflict between any two transitions
  - Selection of this set:
    - Random!

# Conflict resolution





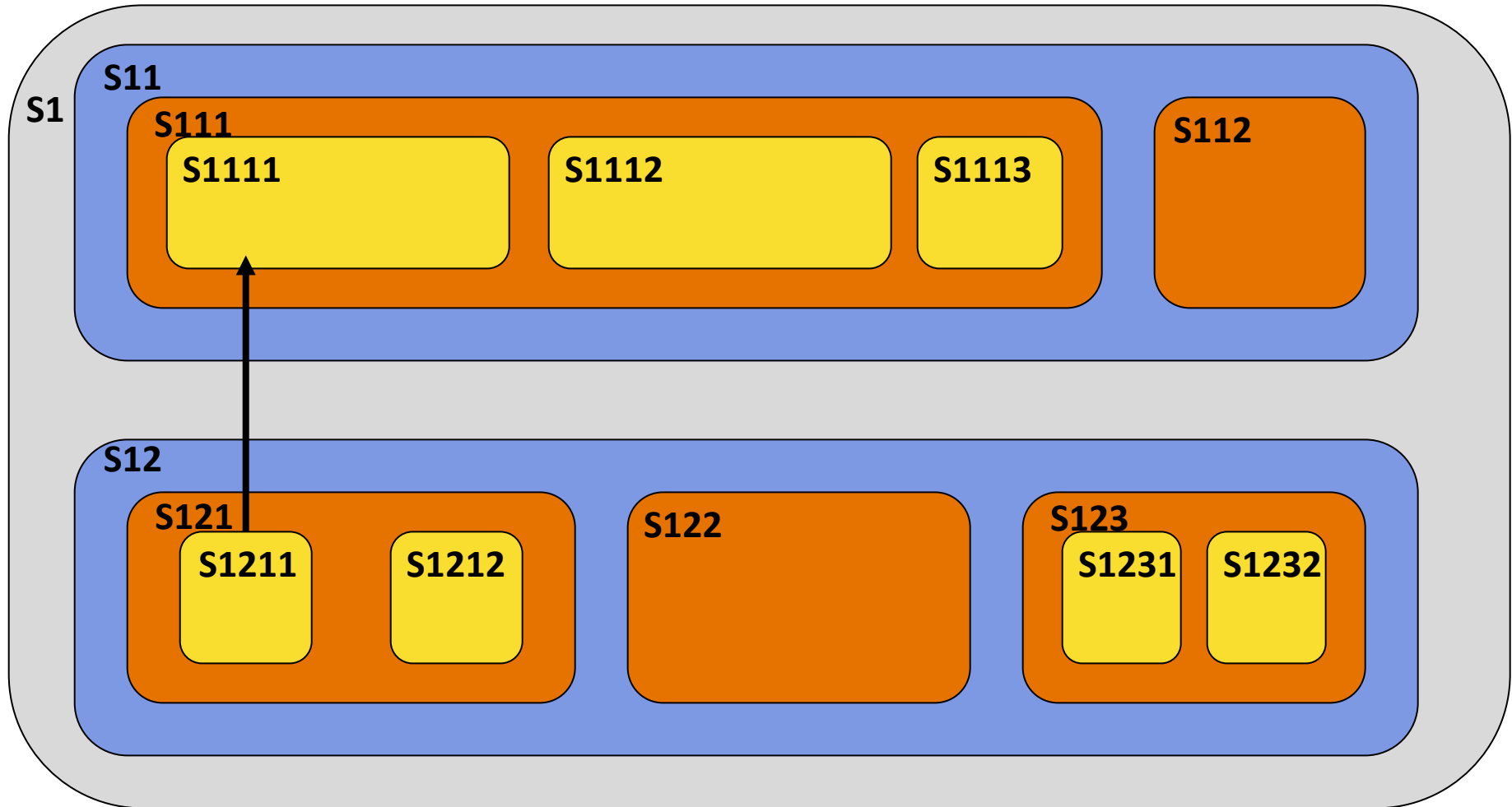
# Steps of event processing IV.

- Selected transitions fire:
  - in random order
- Firing one transition:
  - Leaving the source states from the bottom to top and execute all their *exit* operations
  - Execute the action of the transition
  - Entering the target states from top to bottom and execute the *entry* actions → new state configuration

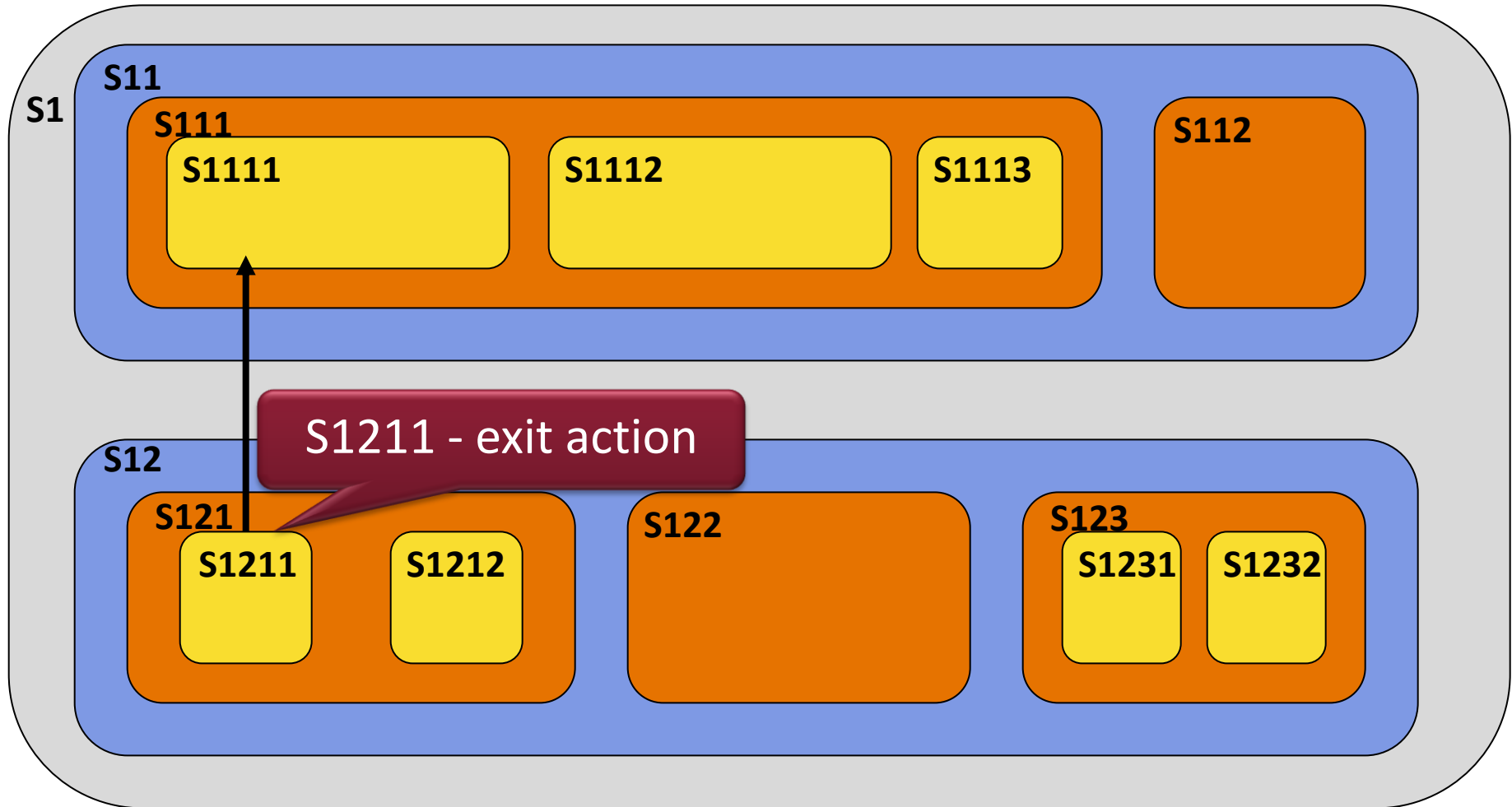
# Steps of event processing V.

- Entering a new state configuration:
  - Simple target state: part of the state configuration
  - Non-concurrent superstate: direct target of one of its substate or its initial state
  - Concurrent target state: all of its regions have to have an active state either as direct target state or with initial state
  - History state : the last active state configuration if there is none: the target state of the history state

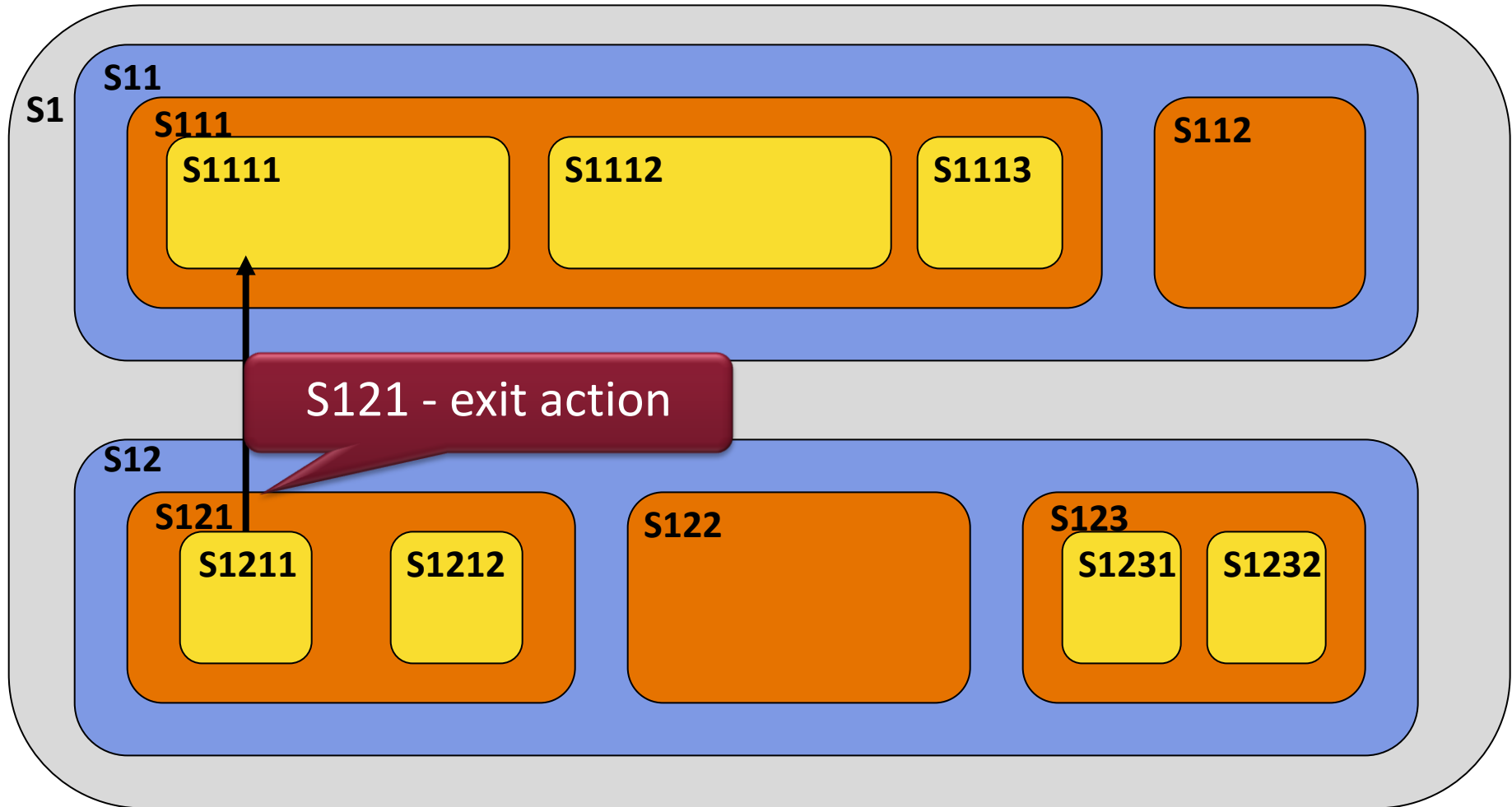
# State transition example



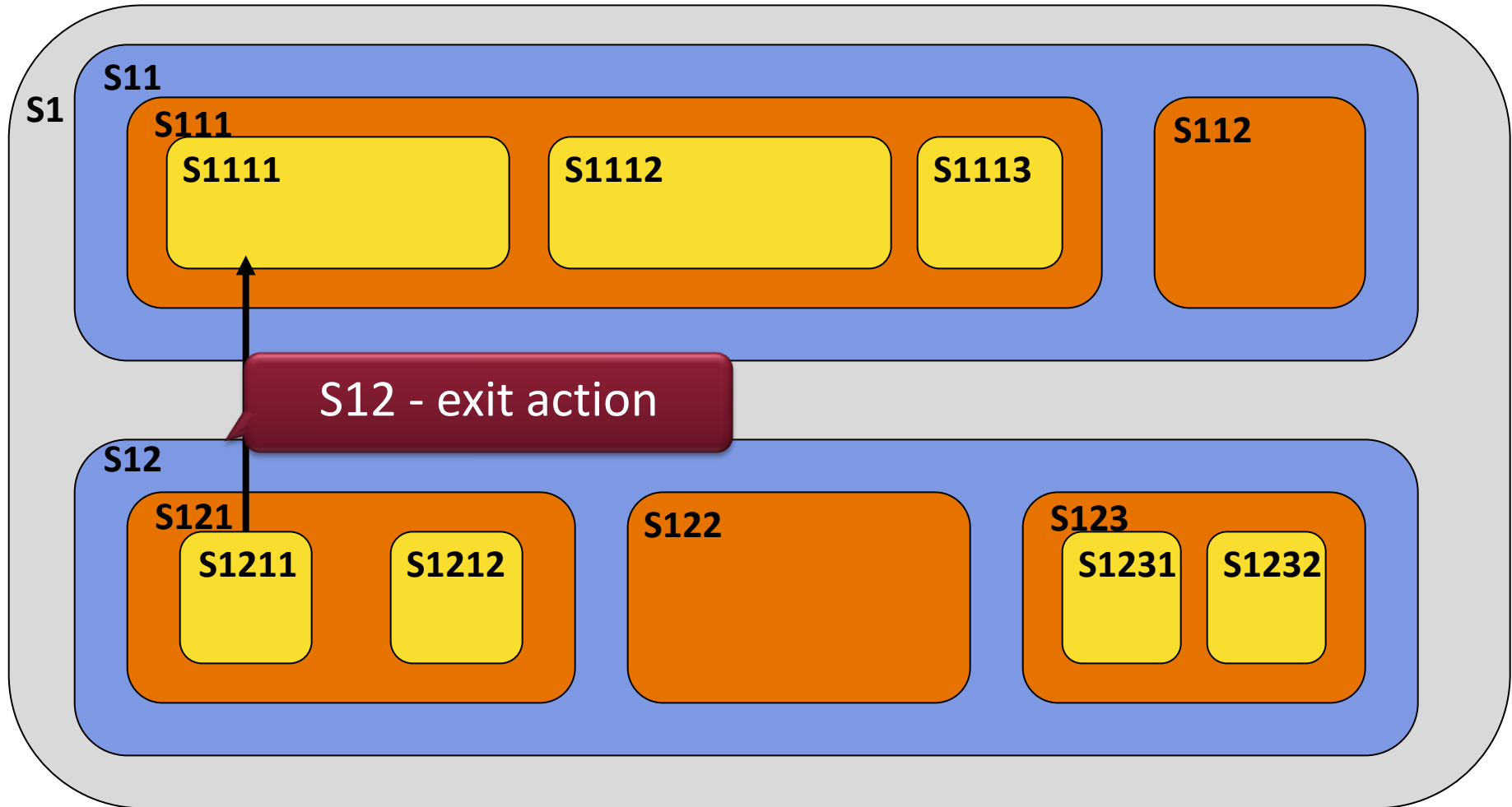
# State transition example



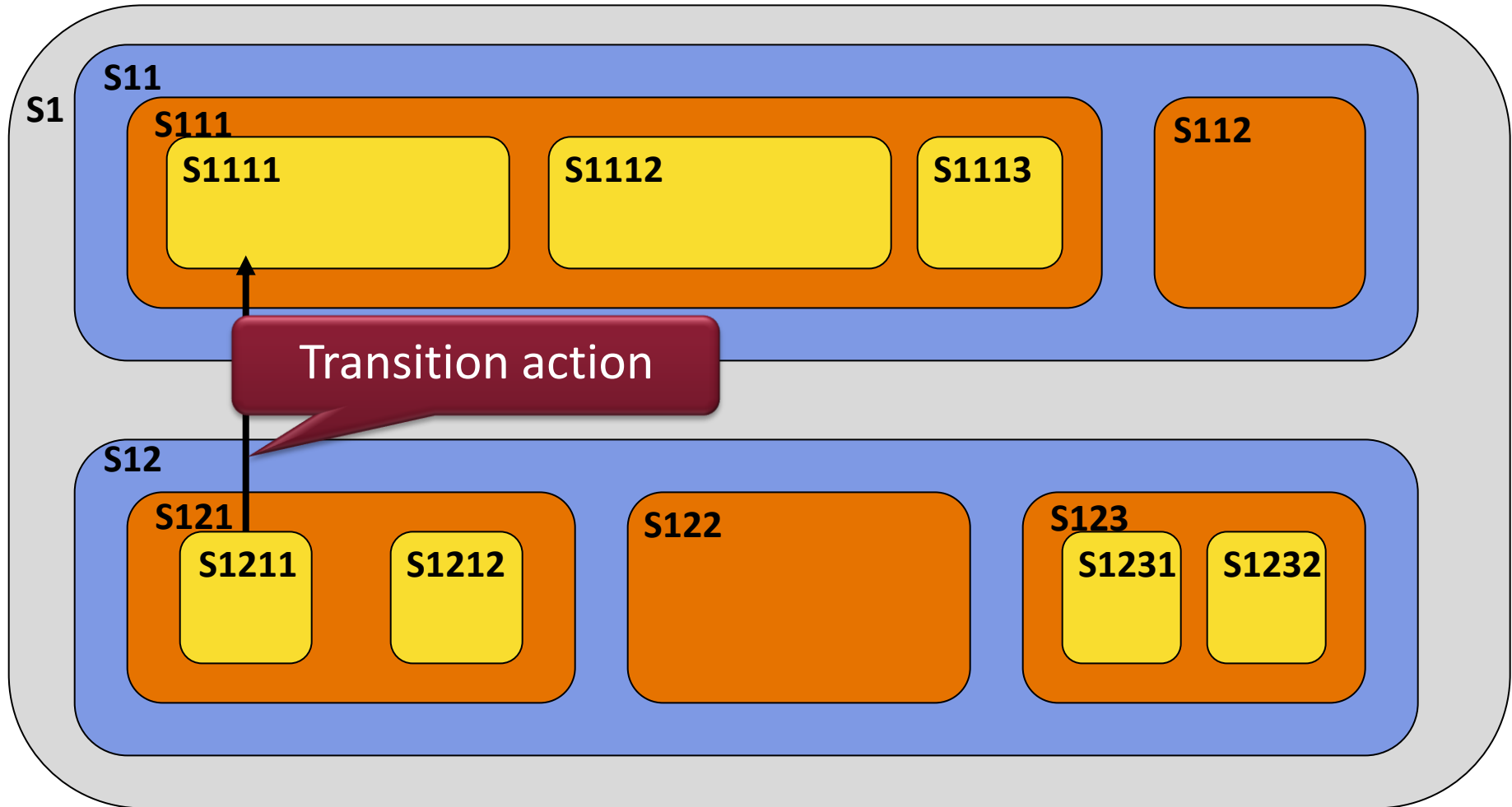
# State transition example



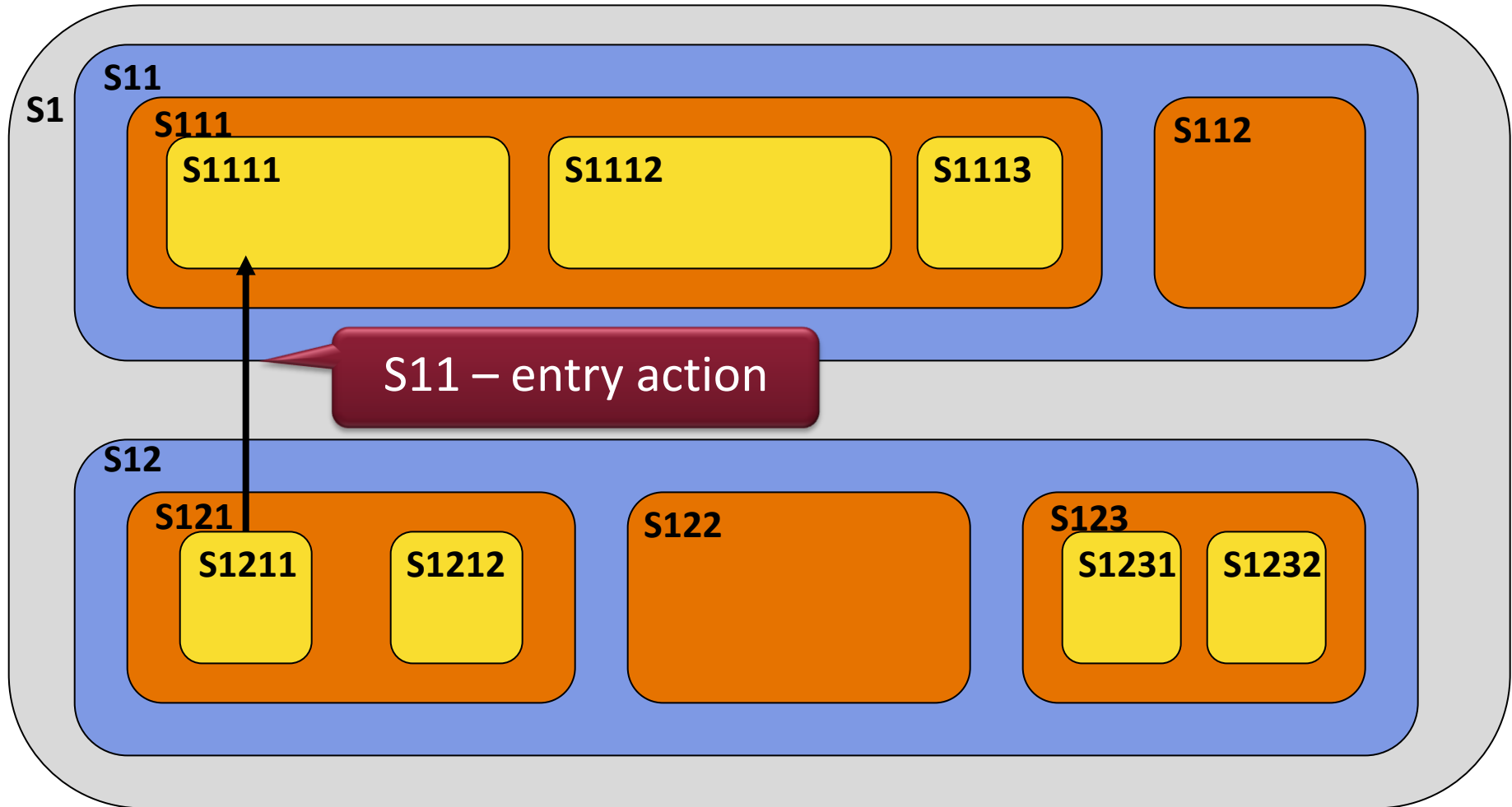
# State transition example



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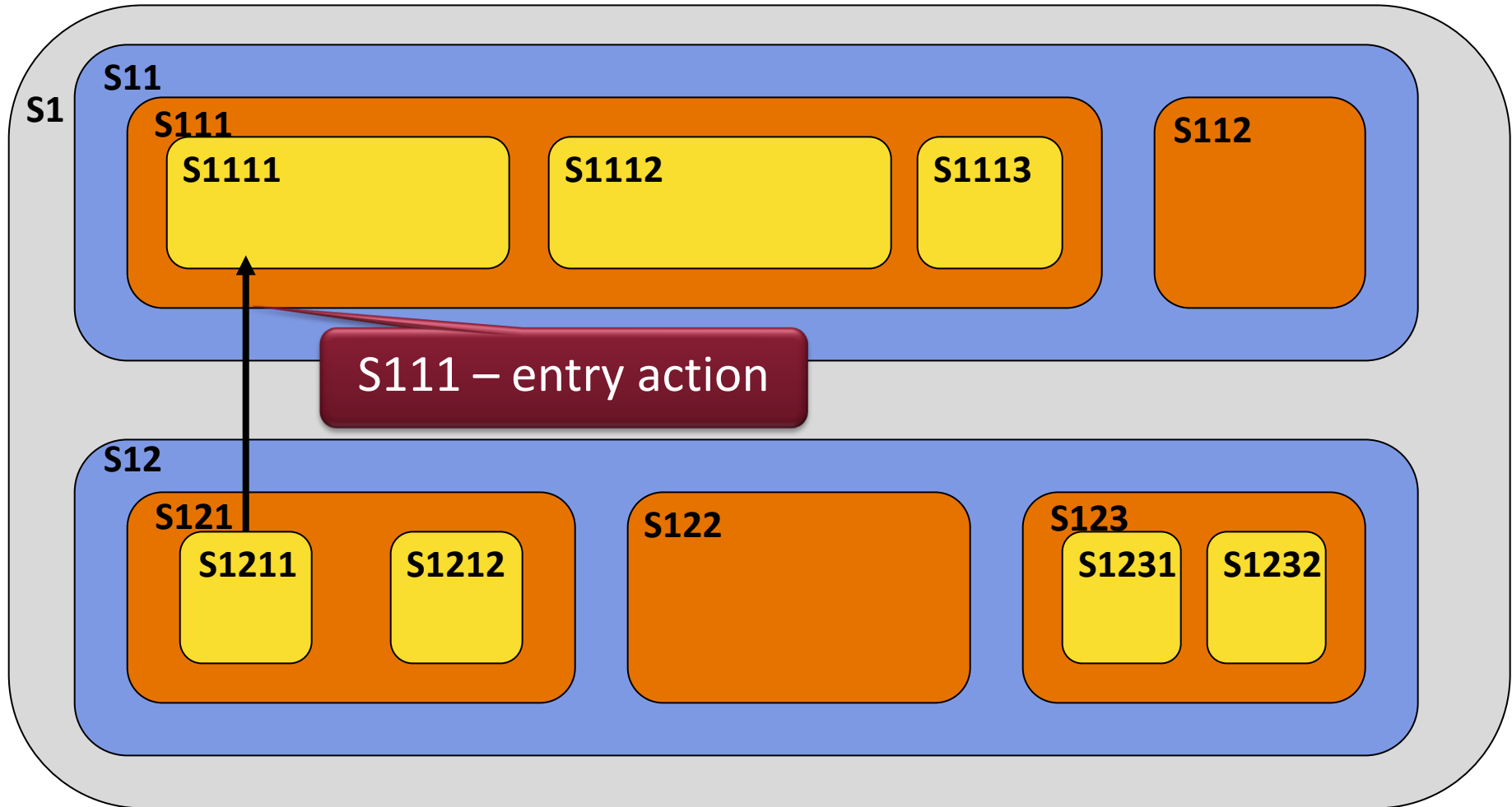


# State transition example

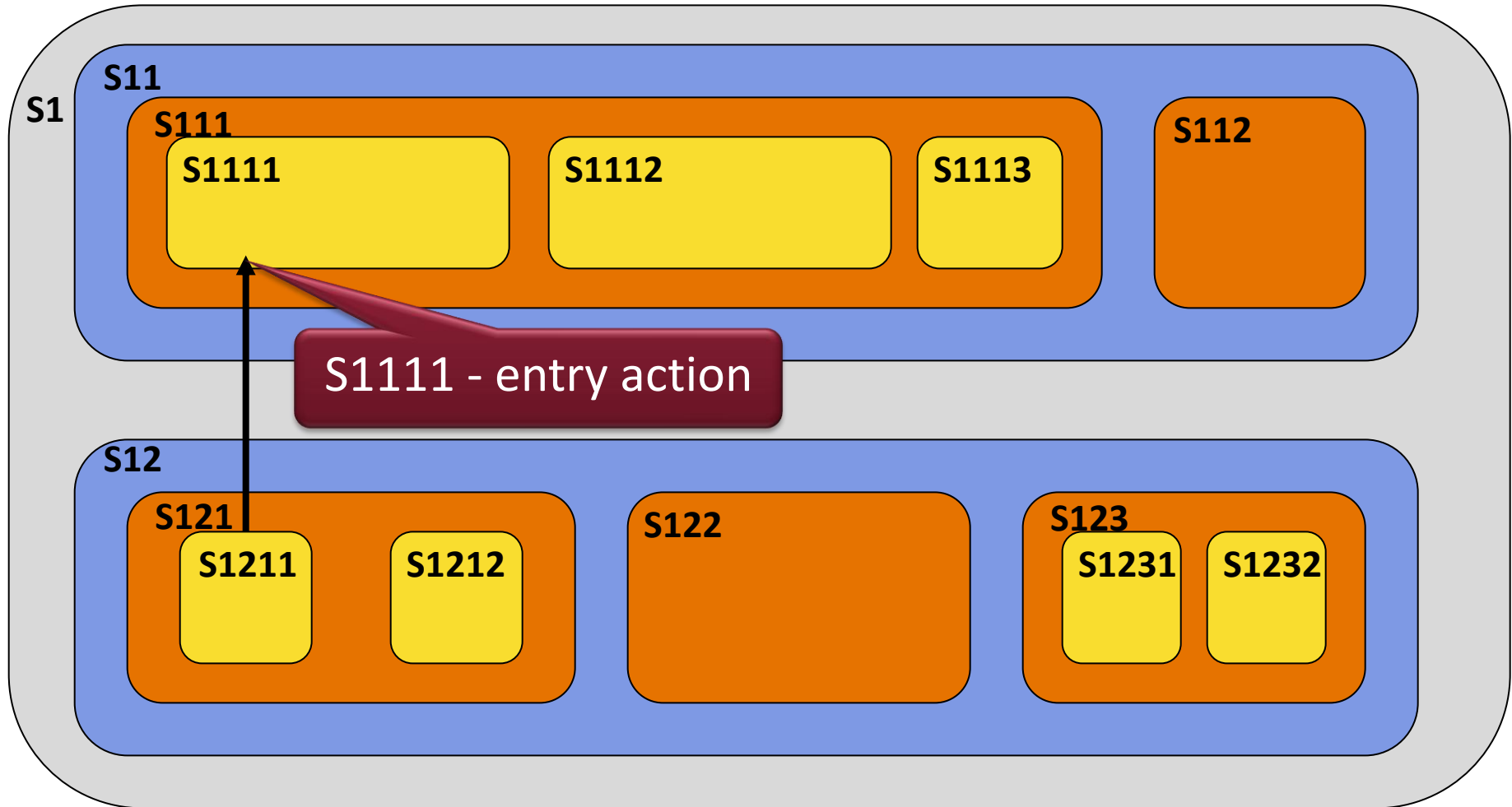




# State transition example



# State transition example



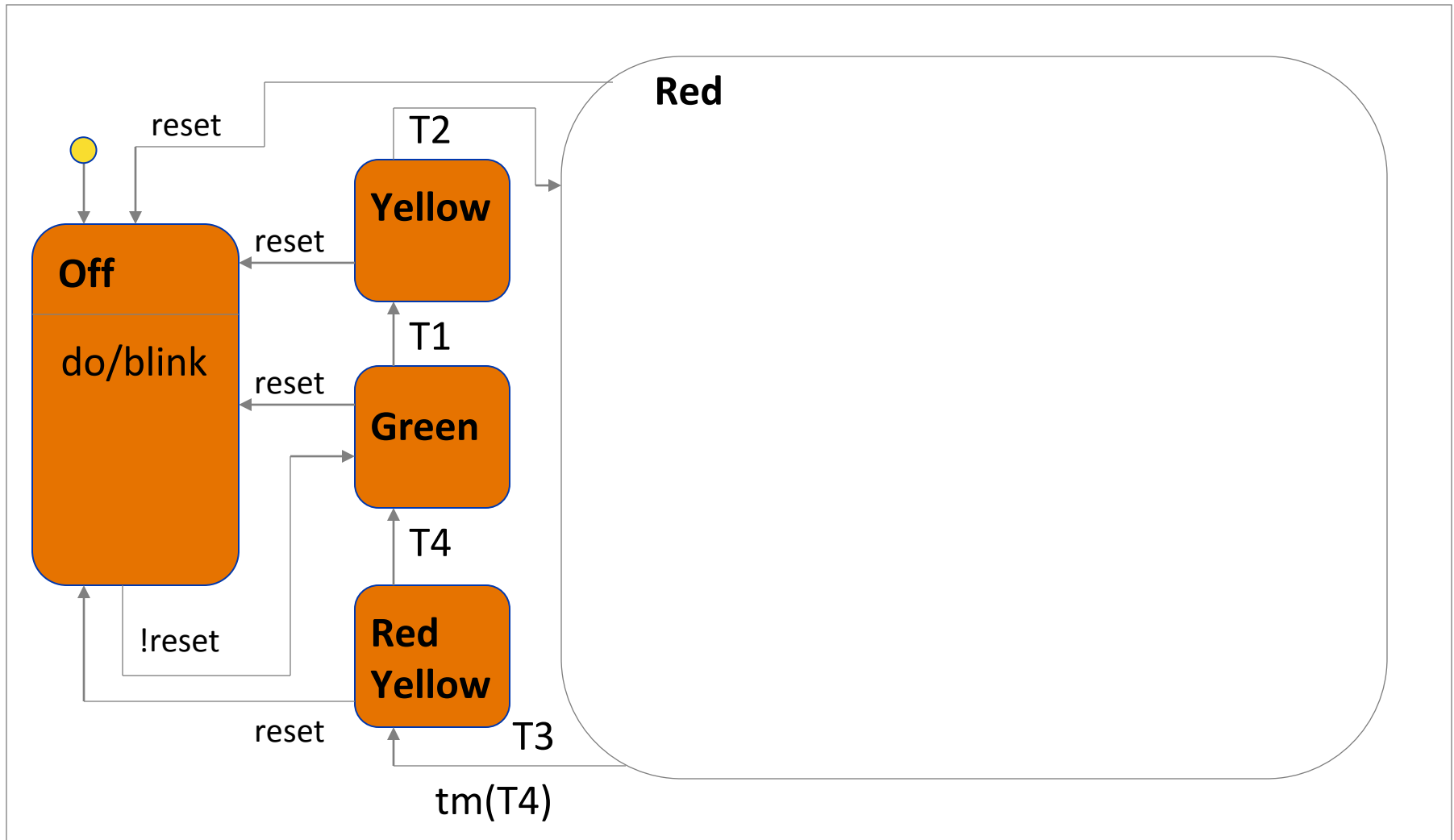
# Summary

- Effective technique to model certain dynamic systems
- Hierarchic refinement allows iterative development
- Already used in many application domain
  - Avionics, automotive, control, etc.

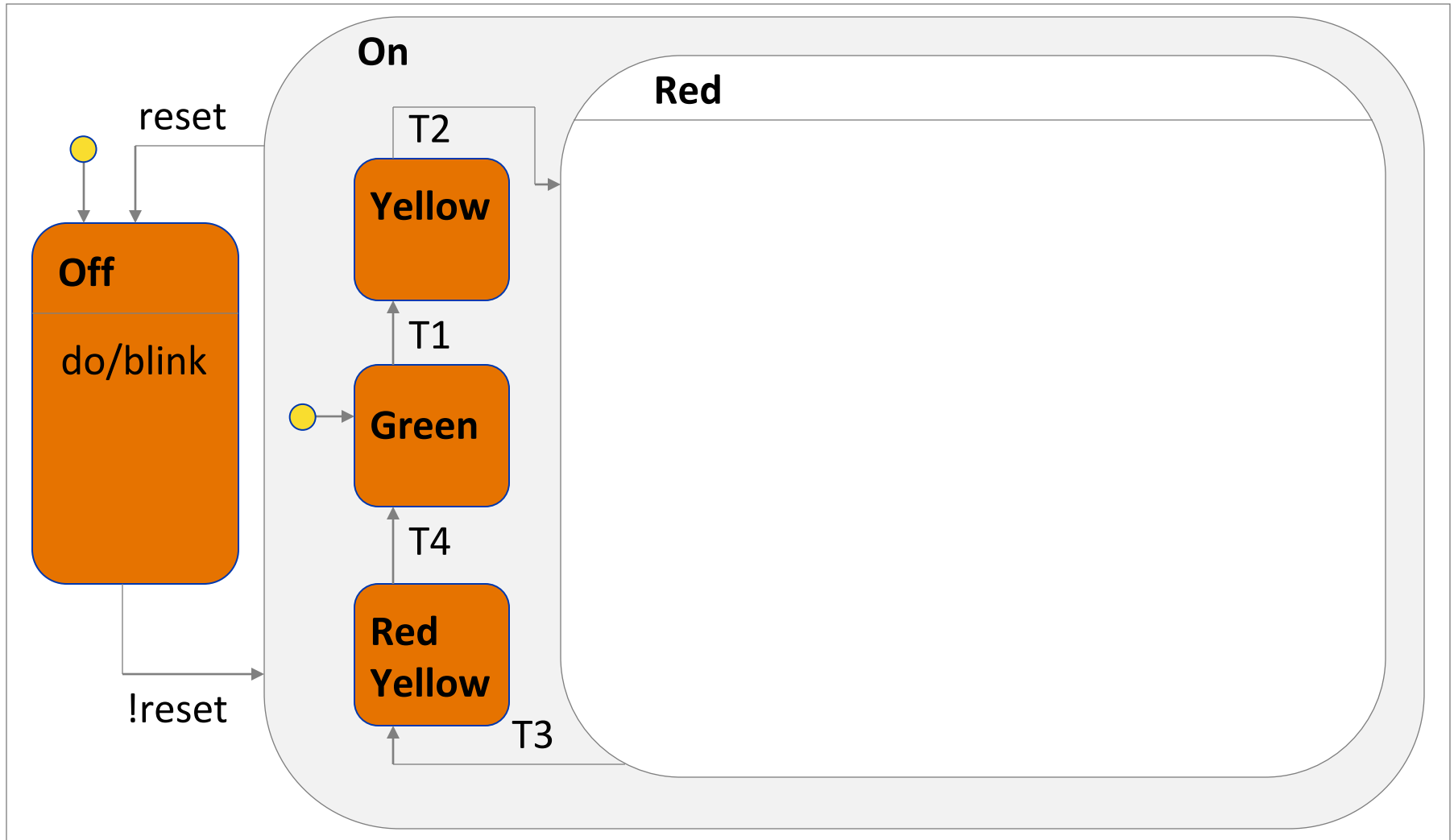
# Complex Example

- Traffic light for an intersection with a prioritized road
  - Off: (blinking yellow)
  - On: green for the priority road
  - Green, yellow, red etc. Different timerange (timer)
  - 3 waiting vehicle on priority road: green light despite the timer's ticks
  - Automatically take photos of vehicles crossing the priority road on red light. Manual on/off for this feature.

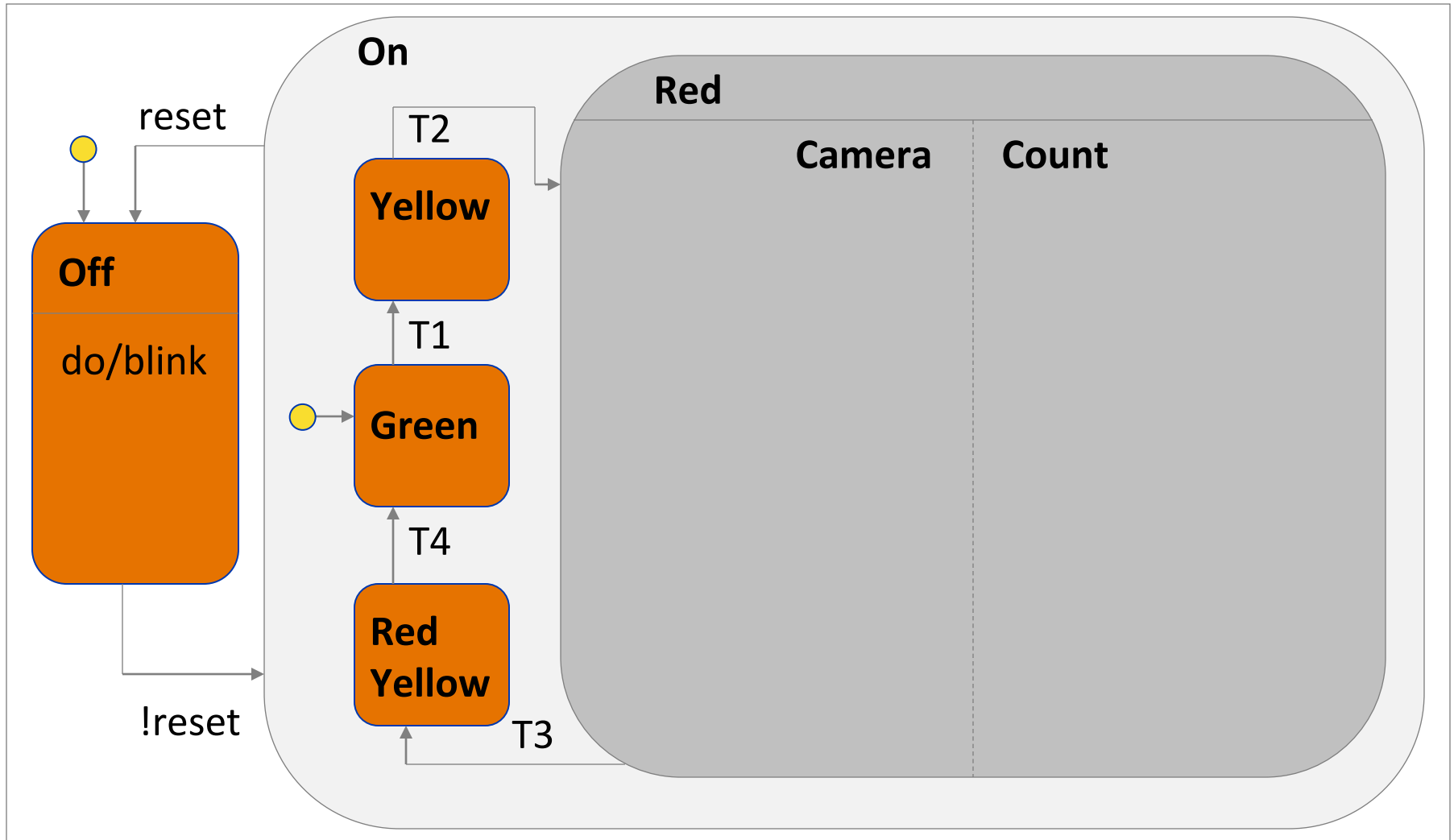
# 1. Basic state machines



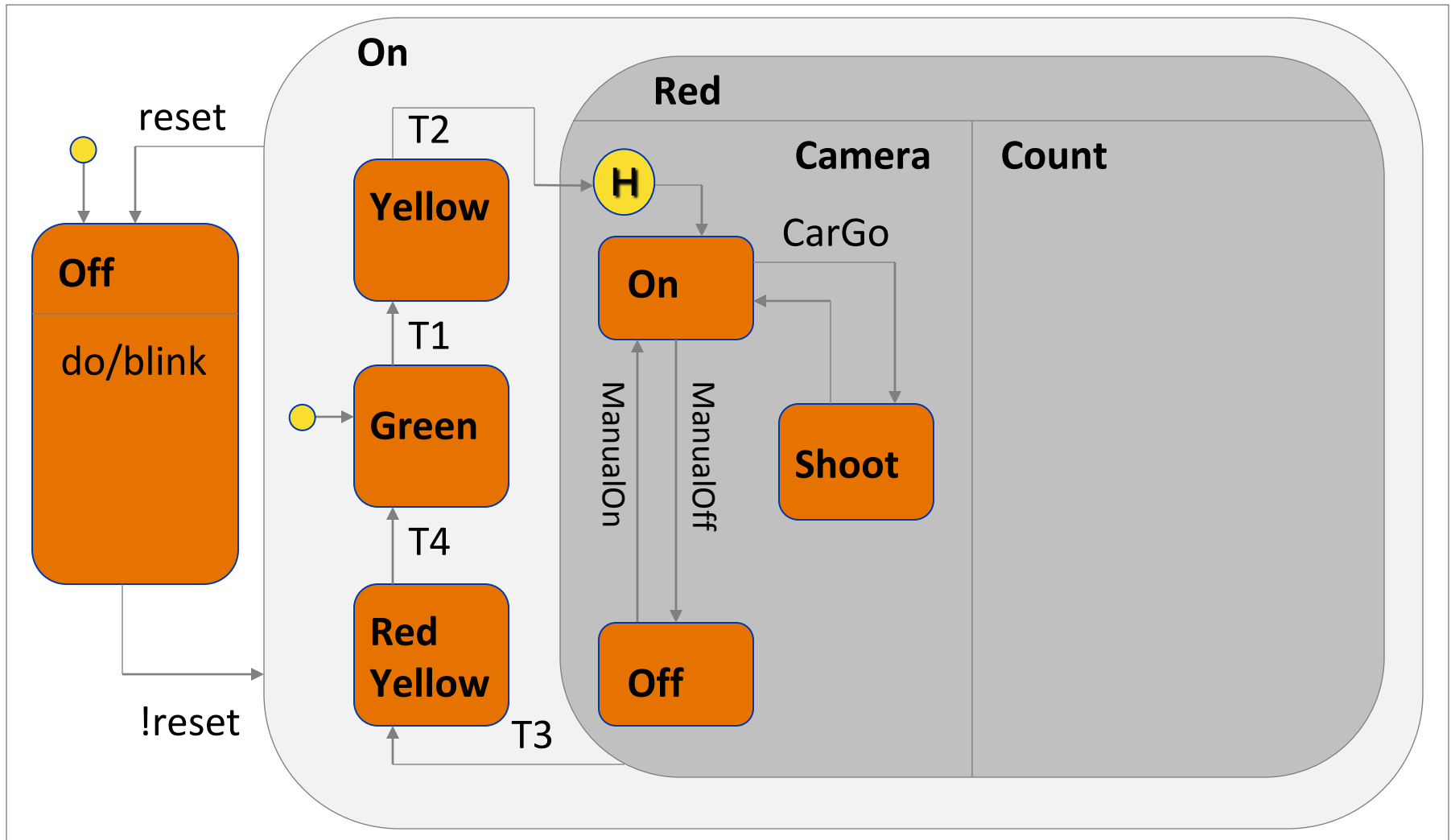
## 2. Hierarchy



# 3. Concurrent states



# 4. History States





# Complete System

